



# Brain Injury Rehabilitation is Coming of Age

Douglas I. Katz, MD

Dept. Neurology, Boston University School of Medicine, Boston  
MA

ABI Program, Braintree Rehabilitation Hospital, Braintree MA

# Ken Viste, Jr., MD (1942-2005)

Former President ASNR (1998-99)  
Former President AAN (1995-97)





# Outline

- The pace of progress in technology, biotechnology and related areas – exponential, not linear
- TBI – from “silent epidemic” to recognition
  - Development of systems of care
  - Expanding research agendas
- Examples of progress
  - Neuropathology
  - Imaging
  - Prognosis
  - Treatment

Ray Kurzweil

# The Law of Accelerating Returns



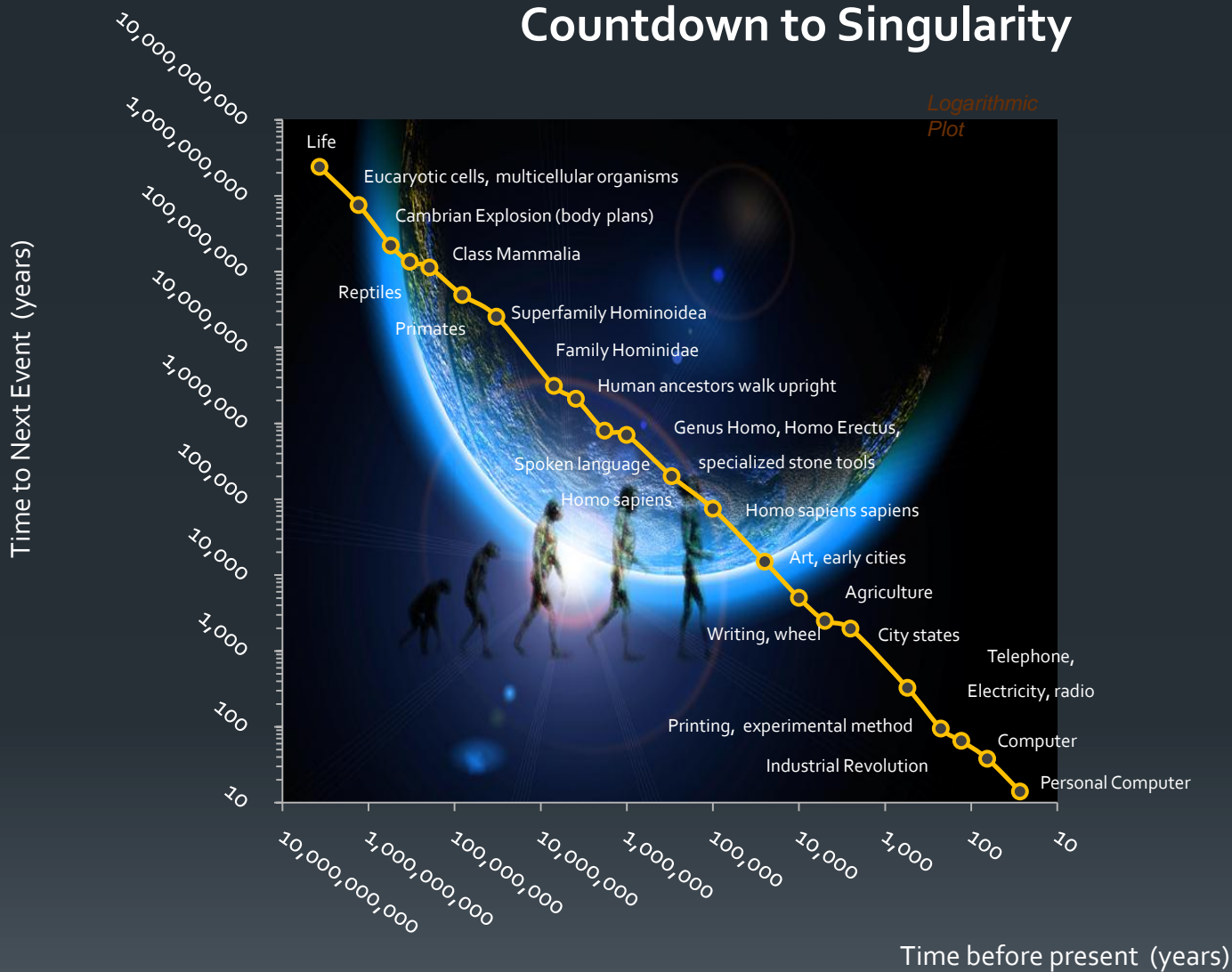
An analysis of the history of technology shows that technological change is exponential, contrary to the common-sense "intuitive linear" view.

Ray Kurzweil

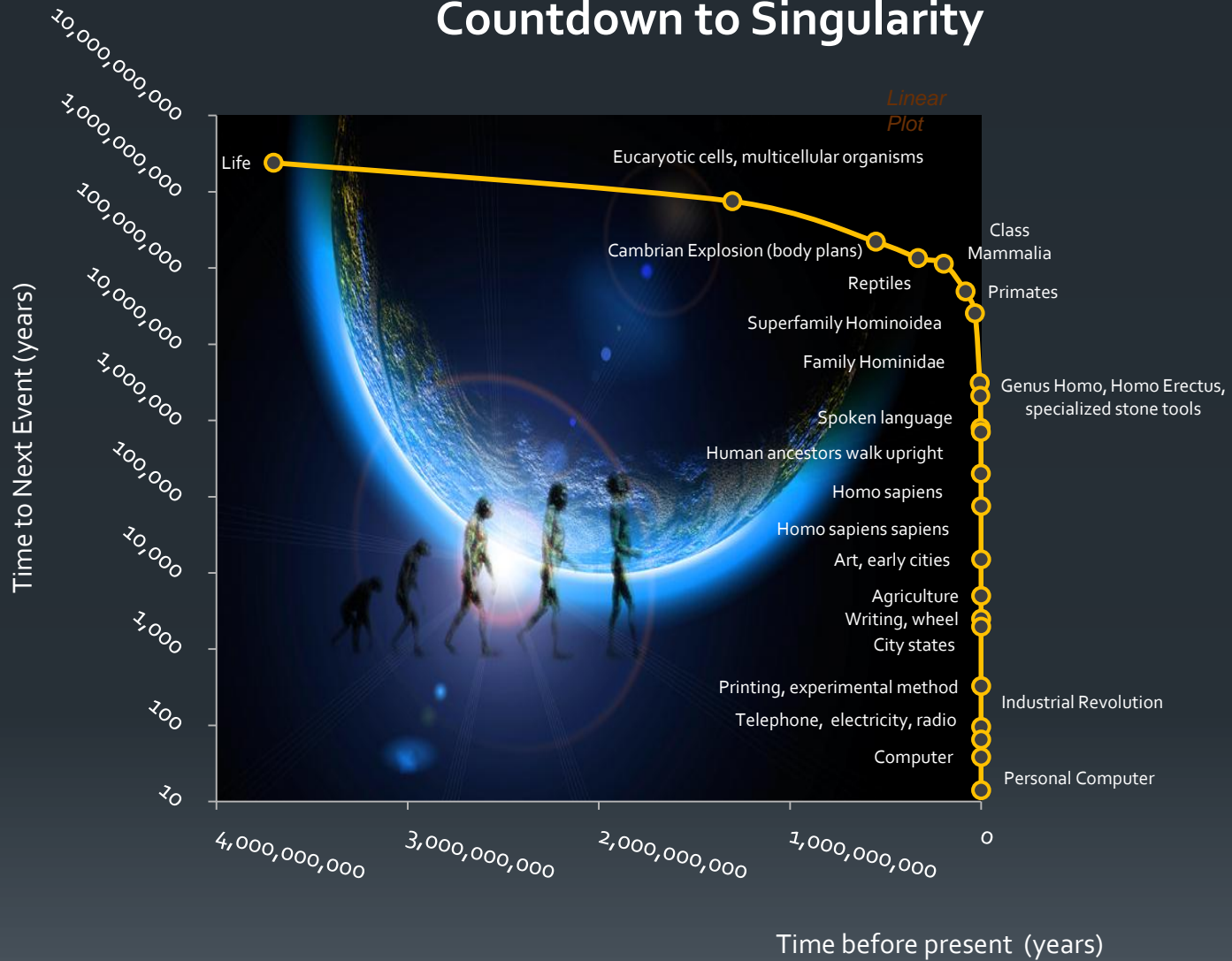
# The Law of Accelerating Returns

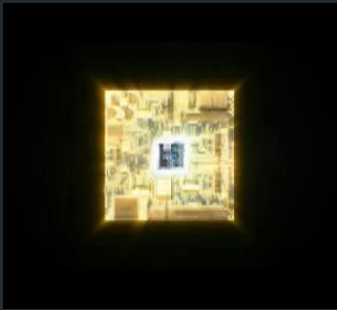
So we won't experience 100  
years of progress in the 21<sup>st</sup>  
century  
– **it will be more like 20,000**  
**years of progress** (at today's  
rate).

# Countdown to Singularity



# Countdown to Singularity

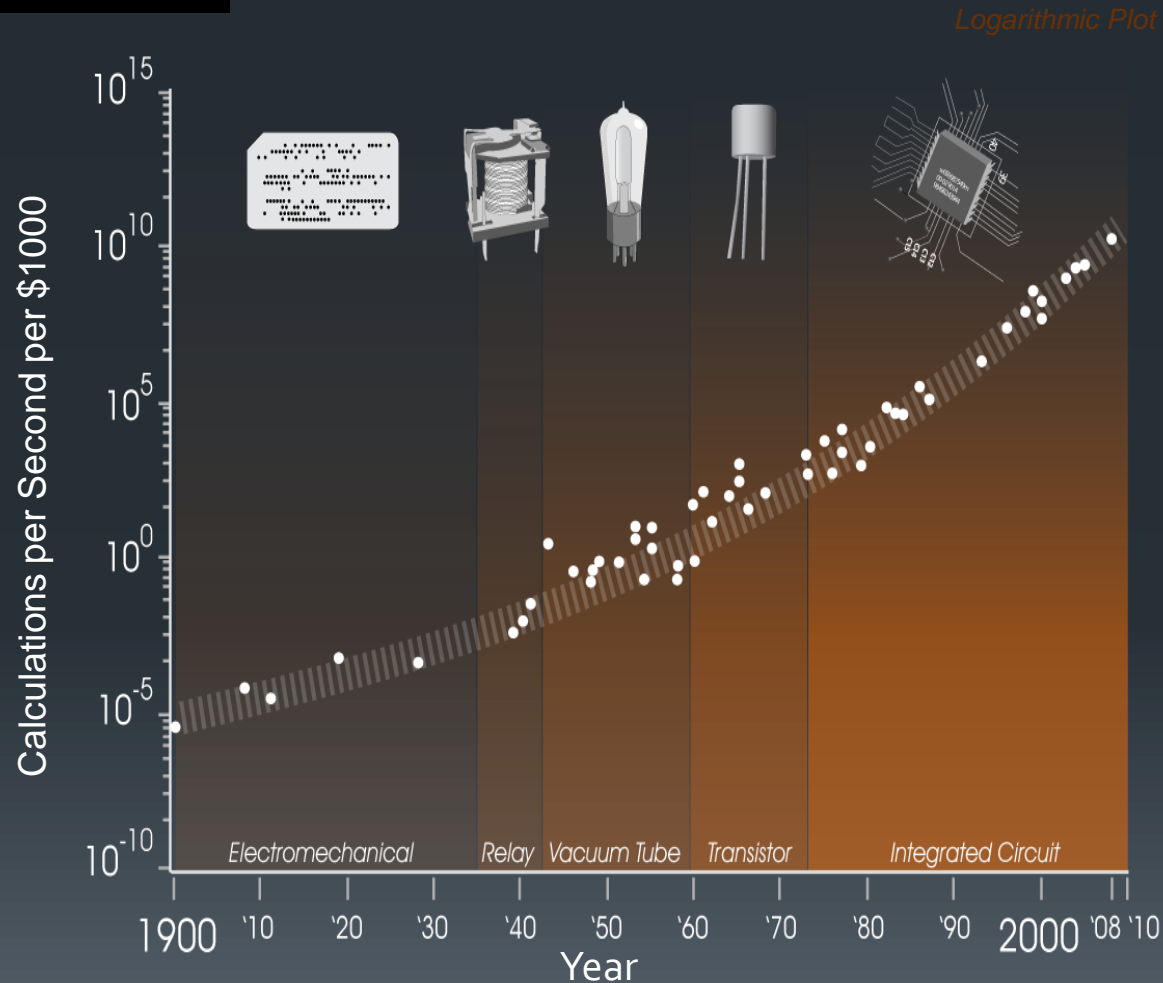




# Moore's Law is only one example

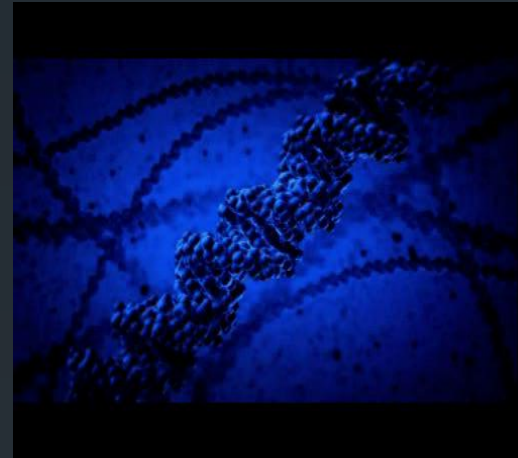
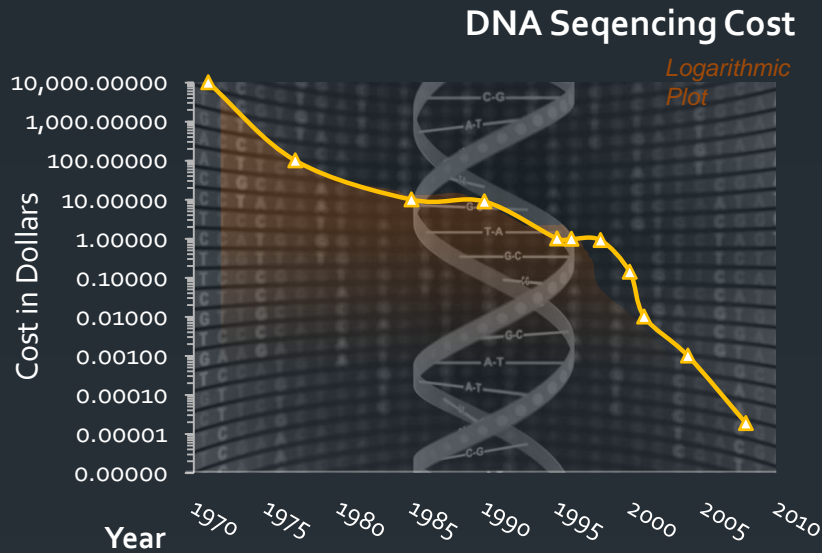
## Exponential Growth of Computing for 110 Years

Moore's Law was the fifth, not the first, paradigm to bring exponential growth in computing

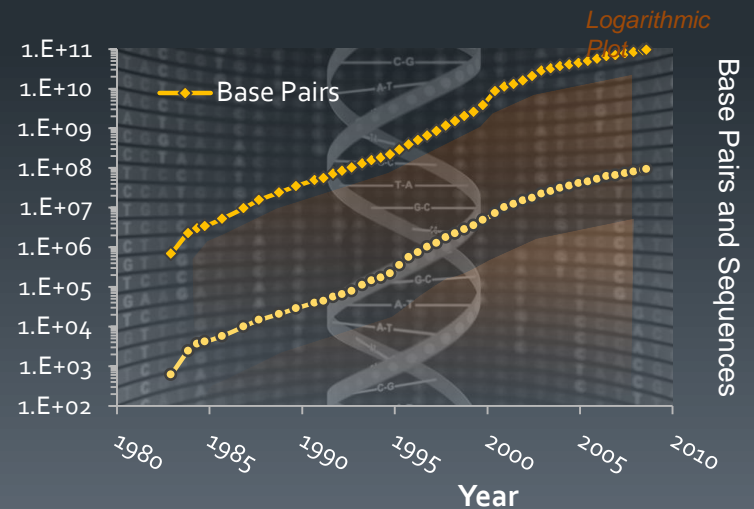




# The biotechnology revolution e.g. human genome project

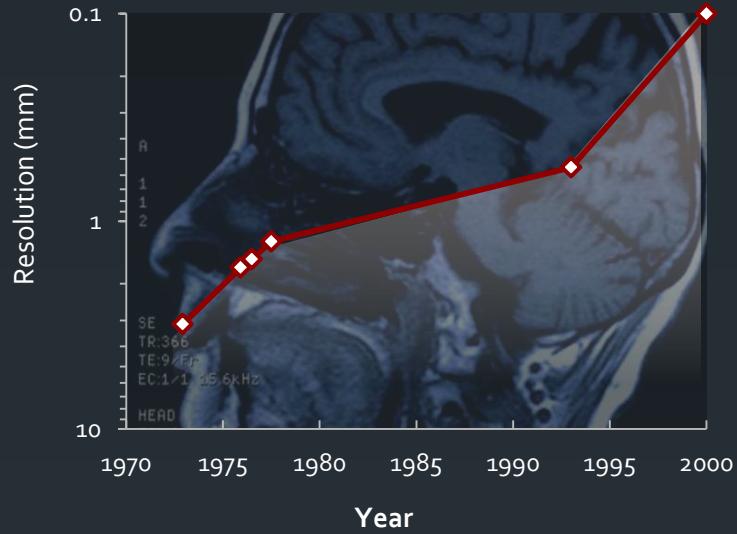


### Growth in Genbank DNA Sequence Data



# Resolution of Noninvasive Brain Scanning

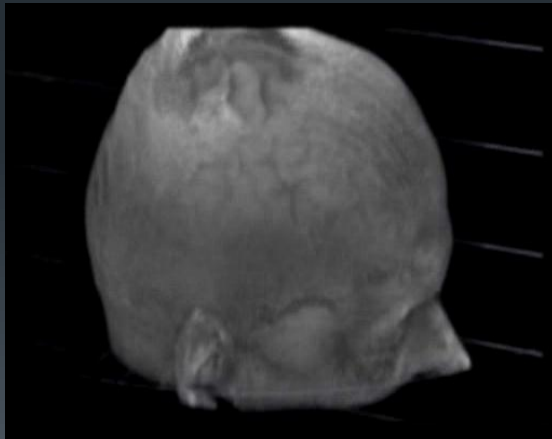
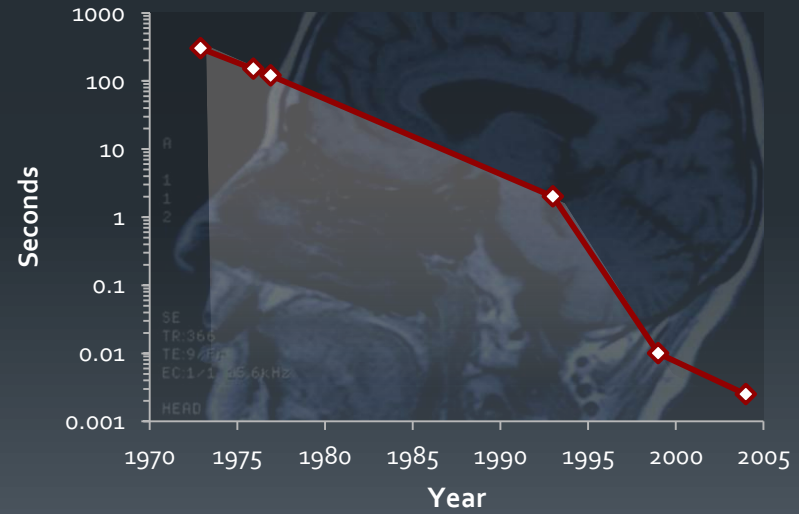
*Logarithmic Plot*

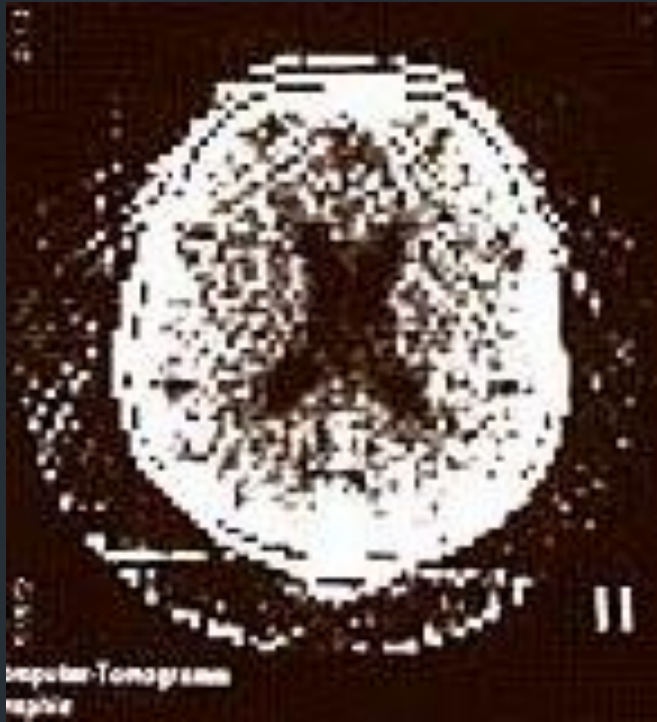


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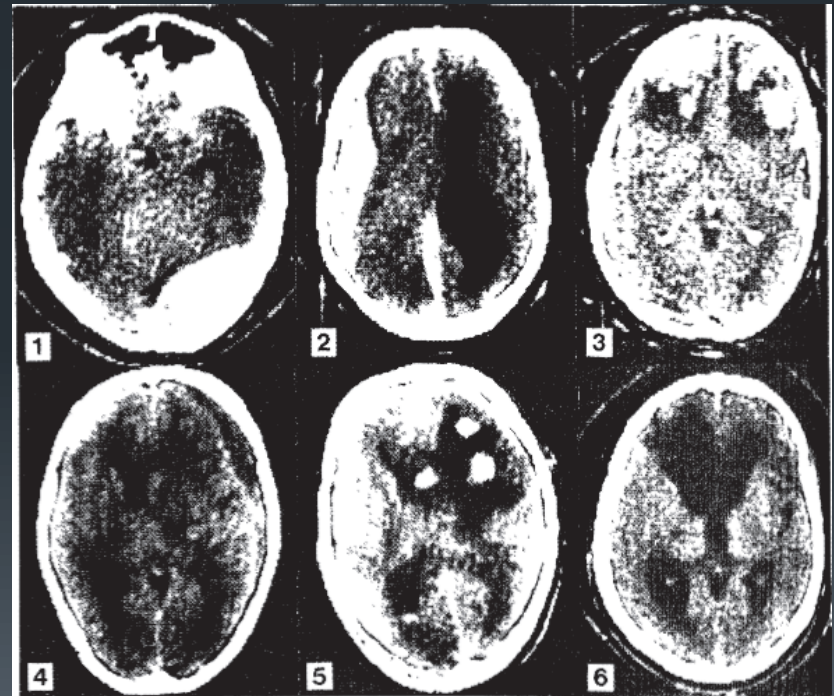
Brain scanning/imaging  
Reconstruction time (seconds)

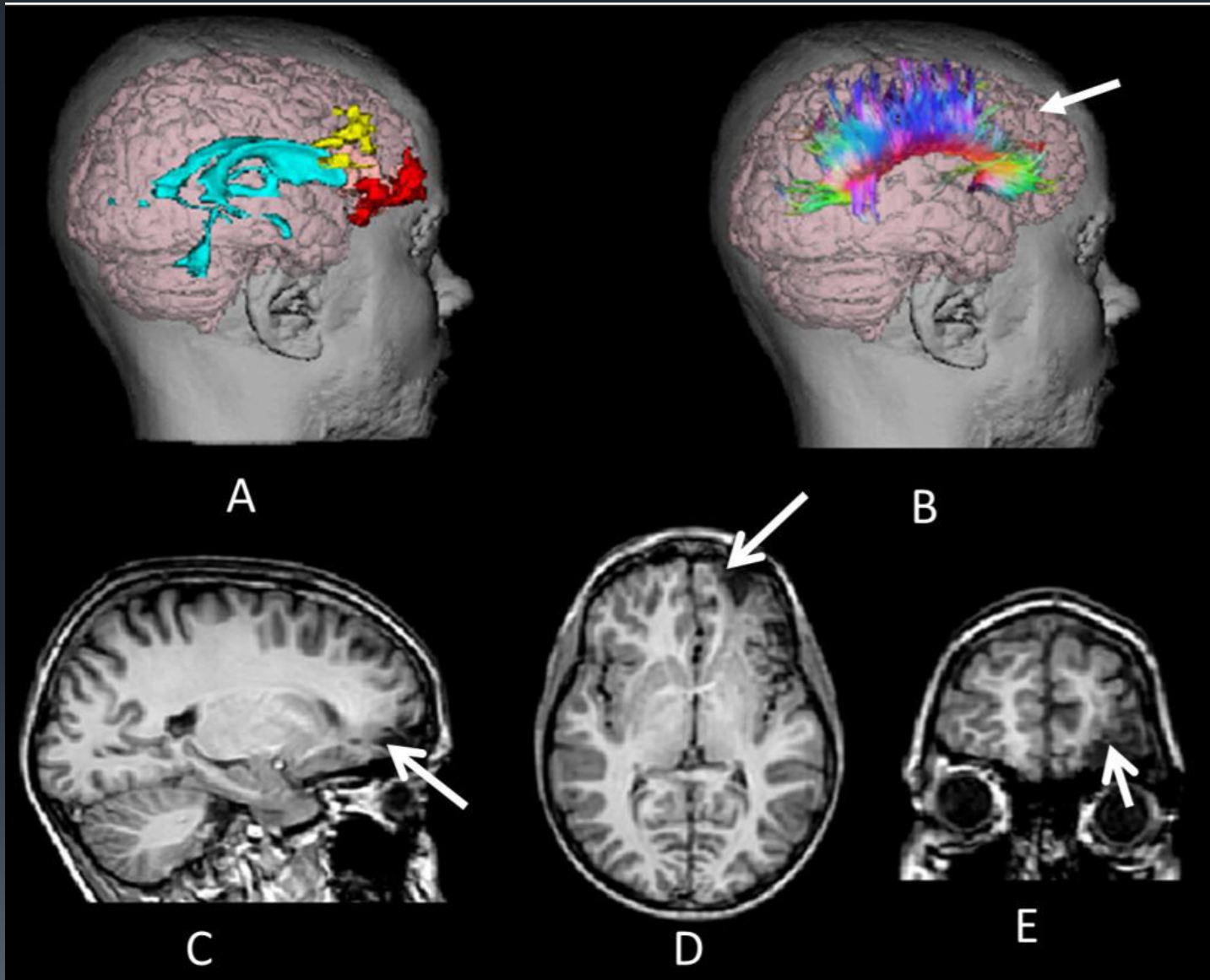
*Logarithmic Plot*

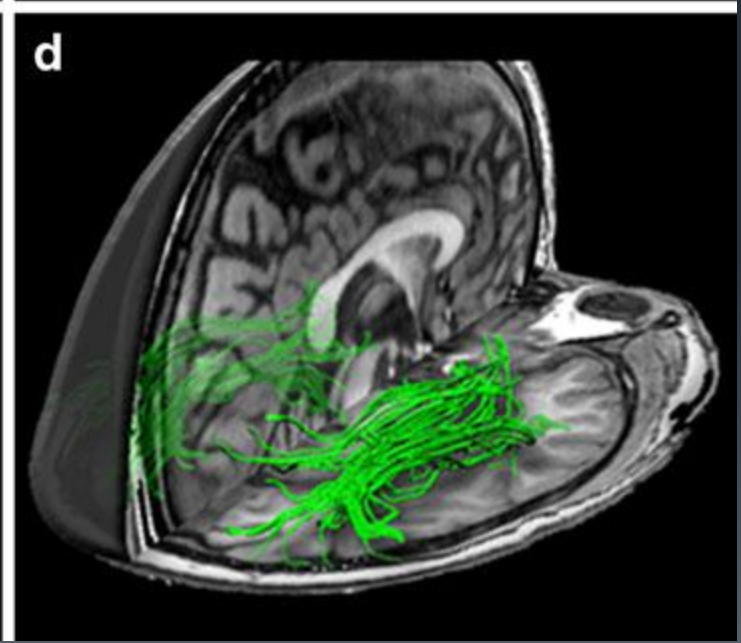
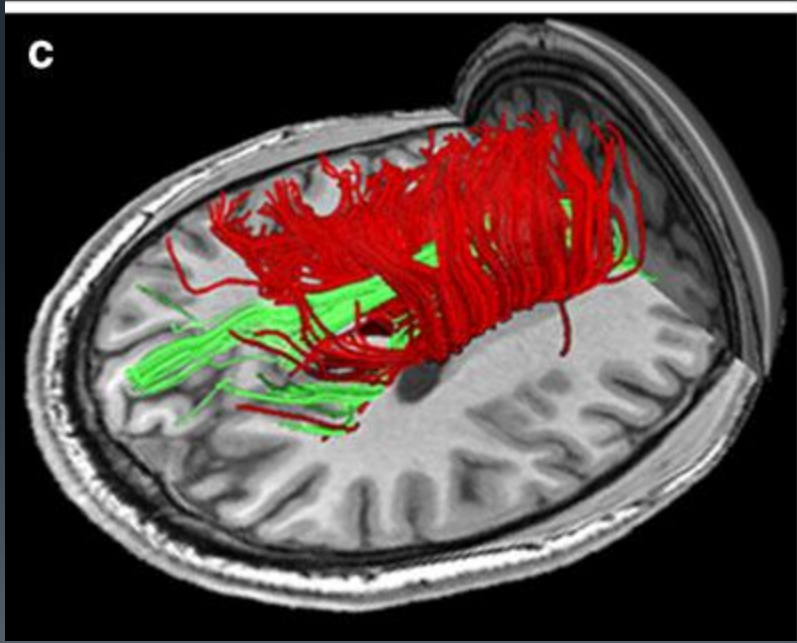
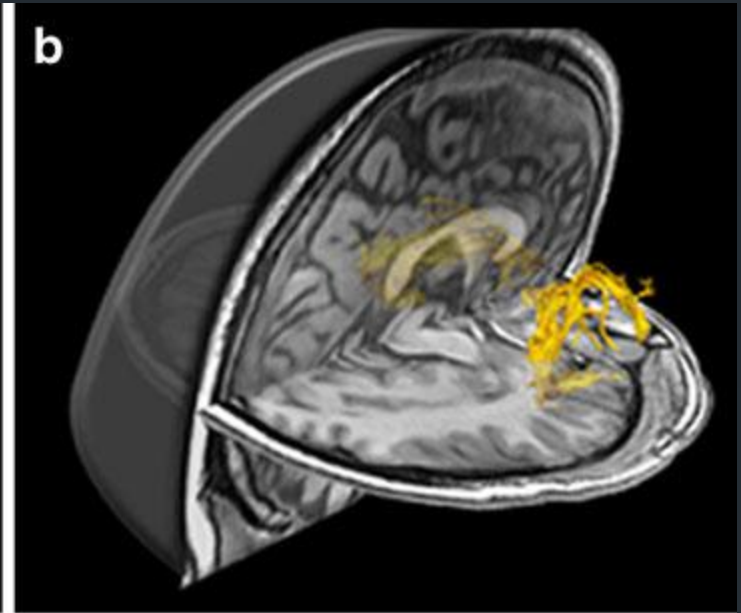
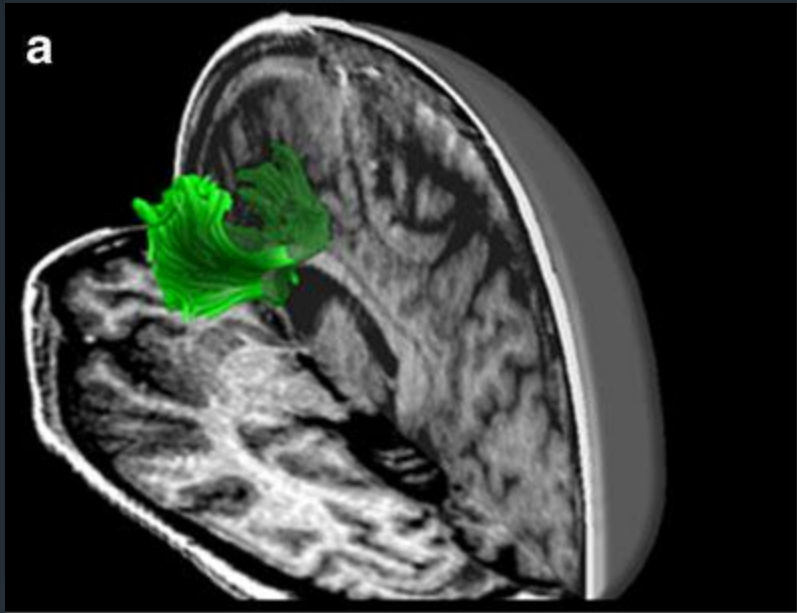




1976









## TBI (1980s)


### “Silent epidemic”

- Walking wounded – look fine on the outside, not the same on the inside
- Decreased public awareness and funding



# Recently less silent, more audible

- “signature injury” – Wars in Iraq, Afghanistan
- Increased awareness of sports concussion and CTE



# Development of clinical systems of care for TBI – key events


- 1960s-1970s - Intensive care monitoring / treatment of TBI
- Early 1970s – advent of computed tomography
- 1974 – Teasdale & Jennett, Glasgow Coma Scale
- 1980 – National Head Injury Foundation (later BIAA)
- 1980s – CARF: standards of care for TBI rehabilitation
- 1996 – Guidelines for the management of Severe head Injury - Brain Trauma Foundation
- TBI act of 1996:
  - CDC – surveillance/prevention
  - NIH basic and applied research
  - HRSA grant program to the states
  - 1998 -NIH Consensus Conference:
    - “...should have access to rehabilitation services through the entire course of recovery...which may last many years”





## Development of research for TBI – highlights (key completed multicenter studies)

- 1970s - International Data Bank – Severe head injury (Jennett, Teasdale, Braakman, Minderhoud et al., - Scotland, Netherlands, US)
- 1980s - North American Traumatic Coma Data Bank
- 1987-present – NIDRR TBI Model Systems Projects
- 1990s - TINT and TIUS: tirilazad for neuroprotection – 2,286 enrolled (**negative study**)
- 1999-2004 - CRASH study: methylprednisilone 10,008 enrolled, 239 centers (**now contraindicated**)
- 2003-2012 – Amantadine trial: severe TBI in rehab, 8 centers



## Development of research for TBI – highlights – current multicenter studies

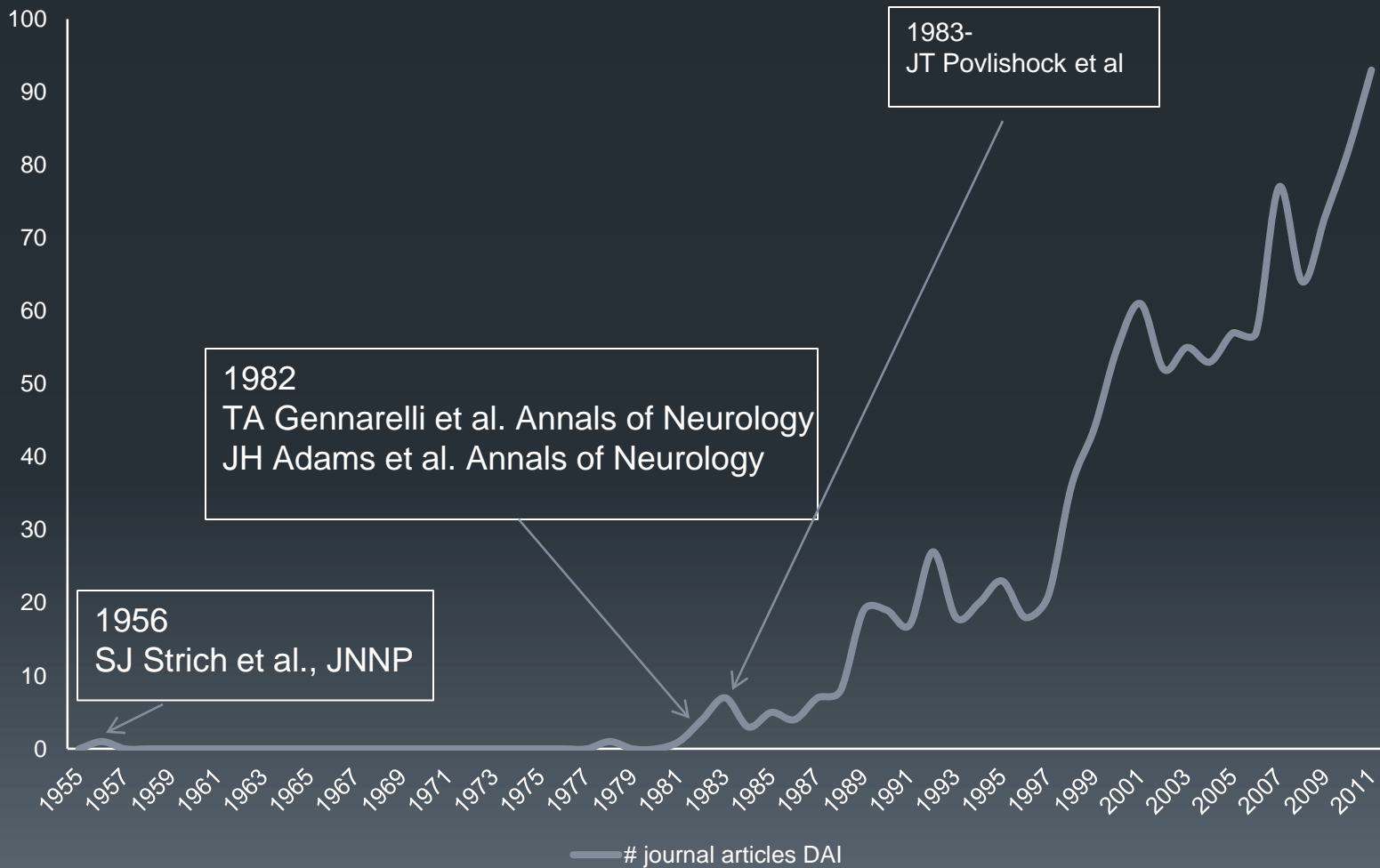
- 2006 – RESCUE – decompressive craniectomy
- 2007-2013 – hypothermia in children after trauma
- 2000s - IMPACT project database (NIH) – dataset of several clinical trials and epidemiologic studies (40K) – prognostic models; rec's for common data elements and design
- 2012 – NeuroSTAT (cyclosporine) – European clinical trial
- 2010 – SyNAPSe – progesterone – 1,180 patients
- 2011- Biomarkers of mild and moderate TBI – 10 centers



# Neuropathology of TBI

- Example – diffuse axonal injury / traumatic axonal injury (DAI/TAI)

# Publications on DAI



## DIFFUSE DEGENERATION OF THE CEREBRAL WHITE MATTER IN SEVERE DEMENTIA FOLLOWING HEAD INJURY

BY

SABINA J. STRICH

*From the Departments of Neurology and Neurological Surgery, Radcliffe Infirmary, Oxford*

This paper reports the findings in the brains of five patients who survived a closed head injury in a more or less decerebrate and extremely demented state, for five to 15 months. These cases were selected from a series of patients who died after prolonged coma or other severe disturbances of consciousness following head injury. Both clinically and pathologically they form a distinct group. The head injuries were uncomplicated, that is, there were no fractures of the skull, no intracranial haematomata or lacerations of the brain, and in particular there was no evidence of raised intracranial pressure at any time, yet the patients remained quadriparetic and almost totally unresponsive from the time of the accident. Pathologically the main finding, and one unsuspected from naked-eye appearances, was a diffuse degeneration of the white matter of the cerebral hemispheres.

Few pathological reports of patients with such a degree of post-traumatic dementia have appeared in the literature, partly due no doubt to the fact that until relatively recently few patients with such severe head injuries survived the acute stage. As far as I know only one of the reported cases, that of Rosenblath in 1899, showed extensive degeneration of the white matter, similar to that in our cases, in a patient who survived a closed head injury in a "sleep-like" state for eight months.

Since the findings in our five cases are so similar,

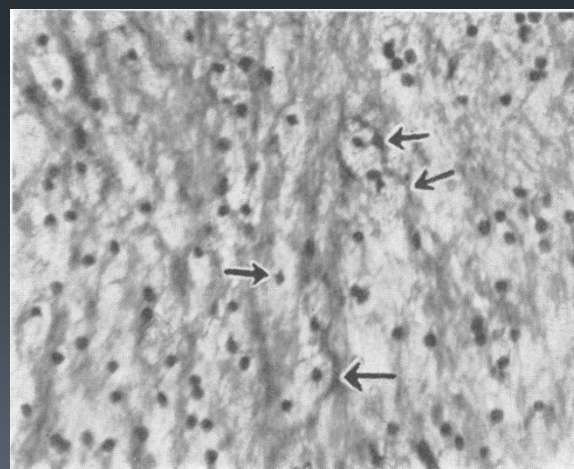
a detailed description of the histology and distribution of the lesions will only be given for Case 1.

### METHODS USED IN THE INVESTIGATION

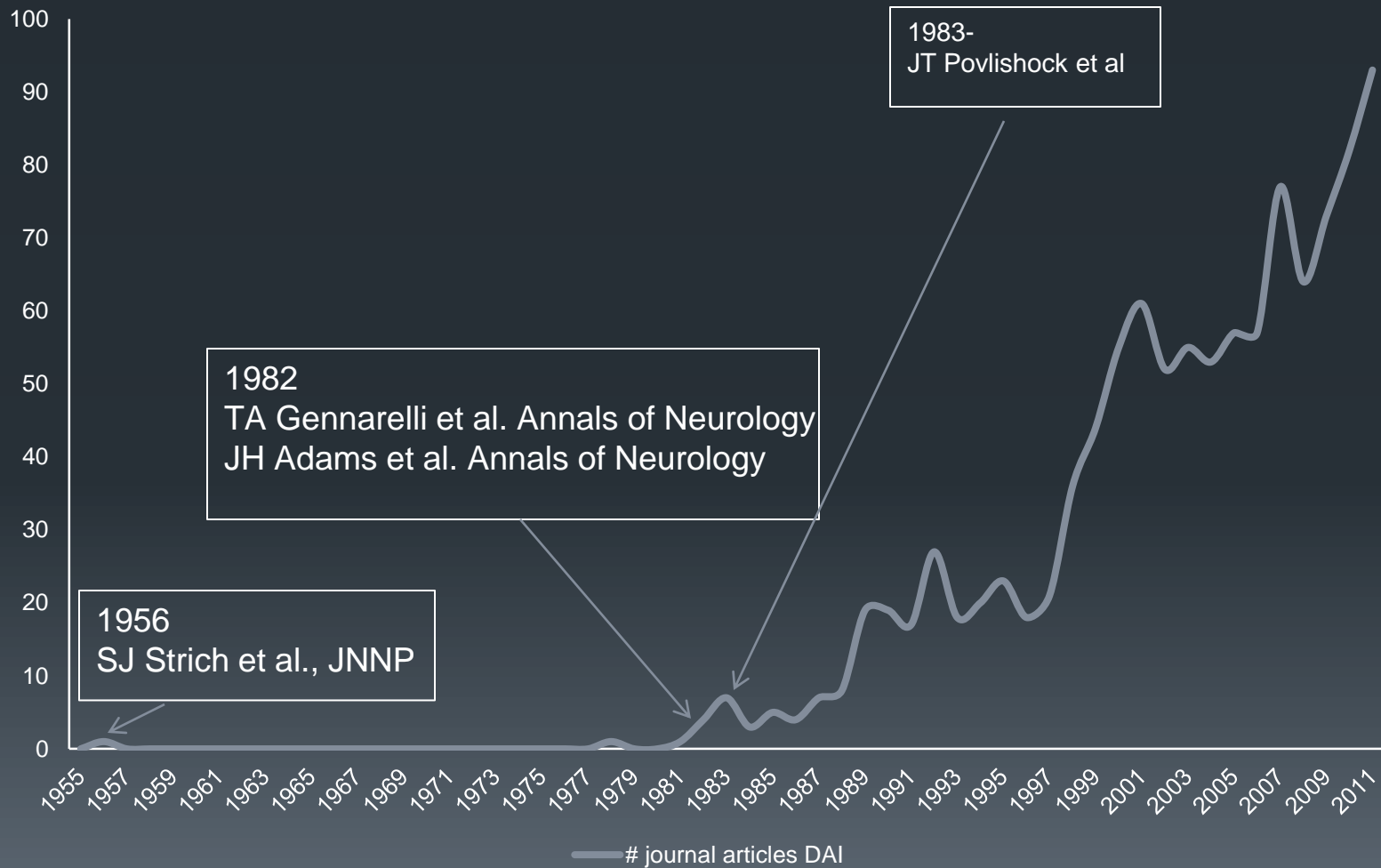
The brain and spinal cord were removed in the usual way and fixed by suspension in 10% formol-saline. In Case 5, the brain was perfused *in situ* with bismuth carbonate in formol-saline in order to render the blood vessels radio-opaque. Numerous blocks were taken from each brain and spinal cord and embedded in celloidin or paraffin or used for cutting frozen sections. The staining methods employed were haematoxylin and eosin, haematoxylin and van Gieson, Nissl, Mallory's phosphotungstic acid haematoxylin, and Weil's stain for myelin, on all embedded blocks. Glee's or Holmes' silver methods for nerve fibres, the Prussian blue reaction for iron, and the Holzer technique were carried out on many sections. Frozen sections were used for Bielschowsky's silver impregnation and for staining with Sudan IV, Sudan black, and oil red O. Much use was made of the Marchi method which was found to give excellent results in these long-standing lesions in spite of very long fixation (nine years in Case 1) in formalin (Smith, Strich, and Sharp, 1956). Since serial sections were not required, a simplified Marchi method was employed: the blocks were washed in tap water for 24 hours and frozen sections 20 to 40  $\mu$  thick were cut and placed into Marchi solution as recommended by Glee's (1943). After five to seven days the sections were taken out, washed in tap water for several hours, and mounted in glycerine jelly. With this method there is practically no pseudo-Marchi deposit.

TABLE I  
CLINICAL DETAILS OF THE CASES

Case	Age in Years	Survival Time in Days	Injuries	Complications		
				Fracture of Skull	Intracranial Haematomata	Other
1 H.B. Male	28	371	Laceration L. forehead and behind L. ear	None	None	—
2 B.S. Female	32	318	L. occipital laceration, bleeding L. ear	None	Subdural film of blood	Tracheostomy
3 G.T. Male	41	257	Lacerations vertex and R. forehead; fracture R. tibia and fibula	None	None	Shock Tracheostomy
4 J.M. Male	27	456	Haematoma R. temple	None	None	Tracheostomy
5 A.T. Female	73	142	Laceration R. forehead; fracture L. femur and clavicle	None	None	—



# Publications on DAI



# Diffuse Axonal Injury and Traumatic Coma in the Primate

Thomas A. Gennarelli, MD, Lawrence E. Thibault, ScD, J. Hume Adams, MB, PhD, FRCPath,  
David I. Graham, MB, PhD, MRCPath, Carson J. Thompson, MD, and Robert P. Marcincin, MD

Traumatic coma was produced in 45 monkeys by accelerating the head without impact in one of three directions. The duration of coma, degree of neurological impairment, and amount of diffuse axonal injury (DAI) in the brain were directly related to the amount of coronal head motion used. Coma of less than 15 minutes (concussion) occurred in 11 of 13 animals subjected to sagittal head motion, in 2 of 6 animals with oblique head motion, and in 2 of 26 animals with full lateral head motion. All 15 concussed animals had good recovery, and none had DAI. Conversely, coma lasting more than 6 hours occurred in none of the sagittal or oblique injury groups but was present in 20 of the laterally injured animals, all of which were severely disabled afterward. All laterally injured animals had a degree of DAI similar to that found in severe human head injury. Coma lasting 16 minutes to 6 hours occurred in 2 of 13 in the sagittal group, 4 of 6 in the oblique group, and 4 of 26 in the lateral group; these animals had less neurological disability and less DAI than when coma lasted longer than 6 hours. These experimental findings duplicate the spectrum of traumatic coma seen in human beings and include axonal damage identical to that seen in severe head injury in humans. Since the amount of DAI was directly proportional to the severity of injury (duration of coma and quality of outcome), we conclude that axonal damage produced by coronal head acceleration is a major cause of prolonged traumatic coma and its sequelae.

Gennarelli TA, Thibault LE, Adams JH, Graham DI, Thompson CJ, Marcincin RP: Diffuse axonal injury and traumatic coma in the primate. *Ann Neurol* 12:564-574, 1982

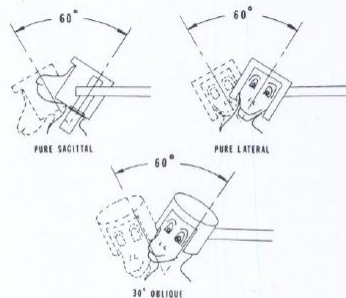
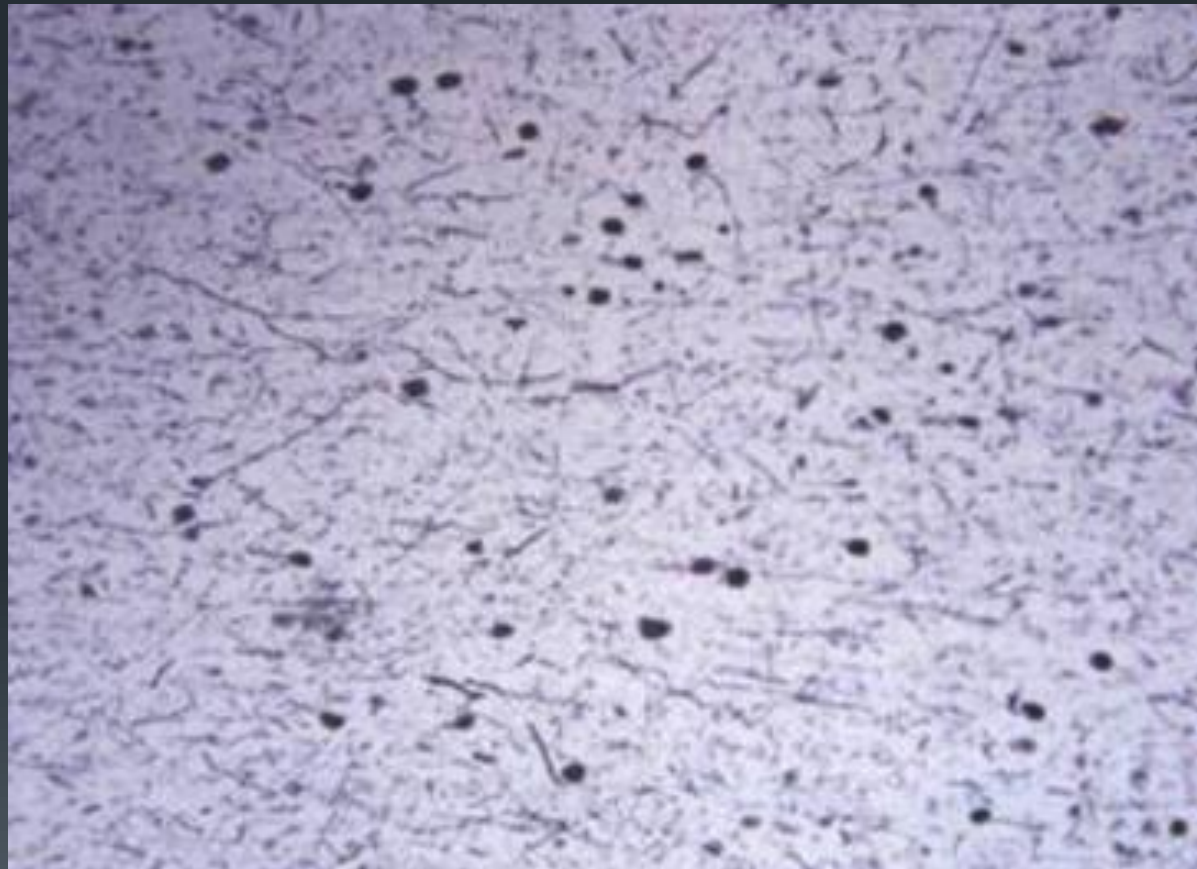


Fig 1. The three head motions used. In all cases, the amount of movement (60 degrees) and the center of rotation were the same.

# Diffuse Axonal Injury - LM



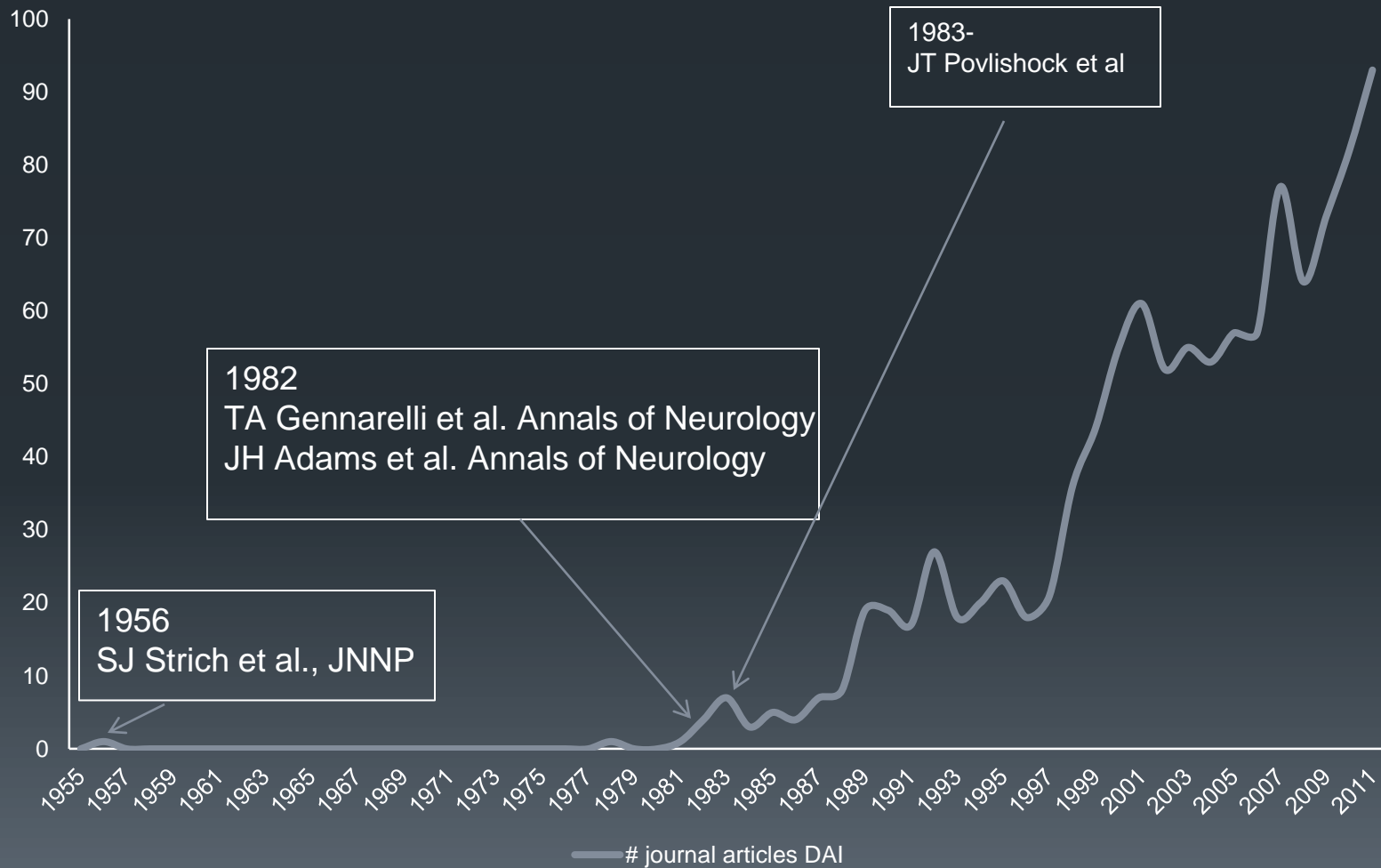


# Diffuse Axonal Injury - EM



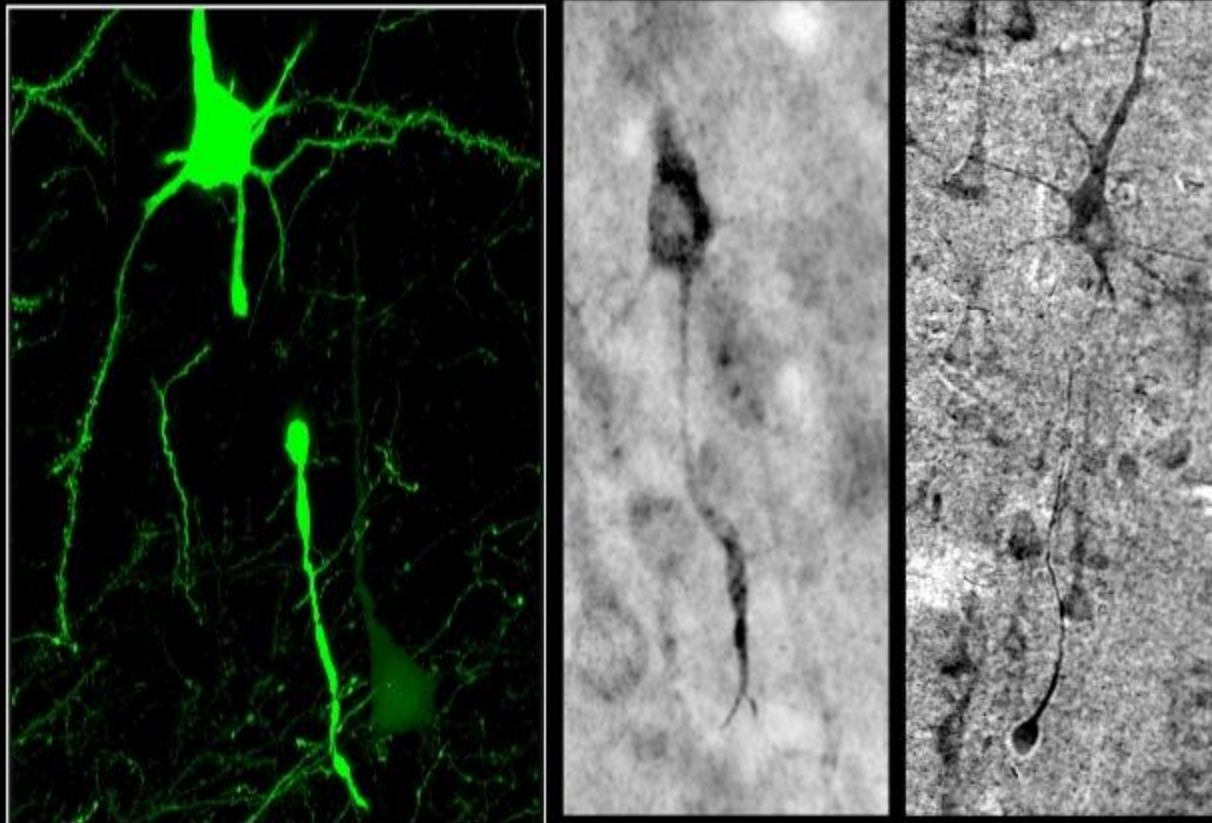
RS = reactive axonal swelling

# Publications on DAI



# DAI studies: John Povlishock - VCU

Human Diffuse DAI



# DAI: delayed axotomy

A

- axolemmal damage
- $\text{Ca}^{++}$  influx
- induces calpains

A

5-30 min.

B

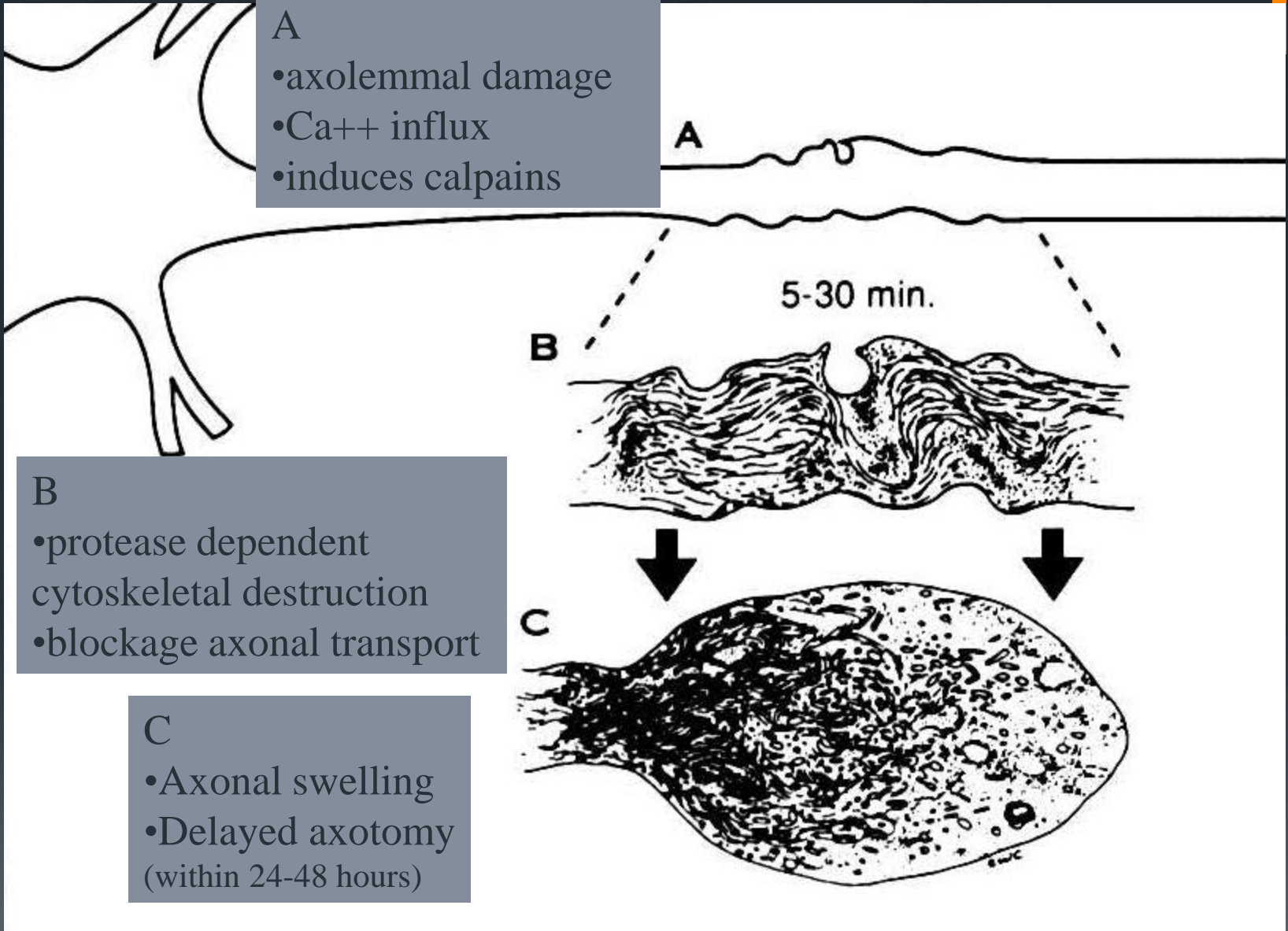
B

- protease dependent cytoskeletal destruction
- blockage axonal transport

C

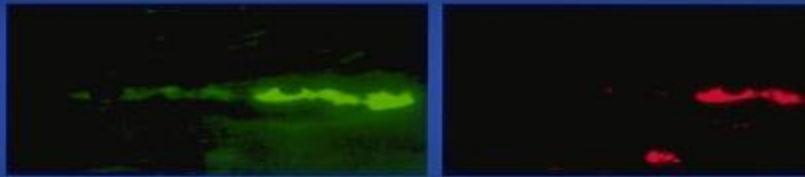
C

- Axonal swelling
- Delayed axotomy (within 24-48 hours)

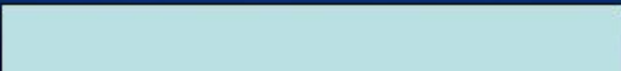
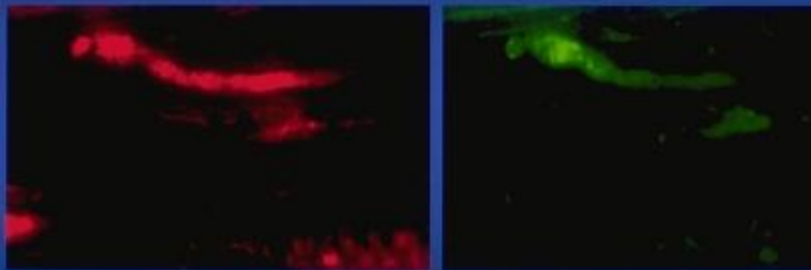


# Sequence of proteolytic events of DAI

*Calpain-Mediated Spectrin Proteolysis and Cytochrome c release 60 min Postinjury*



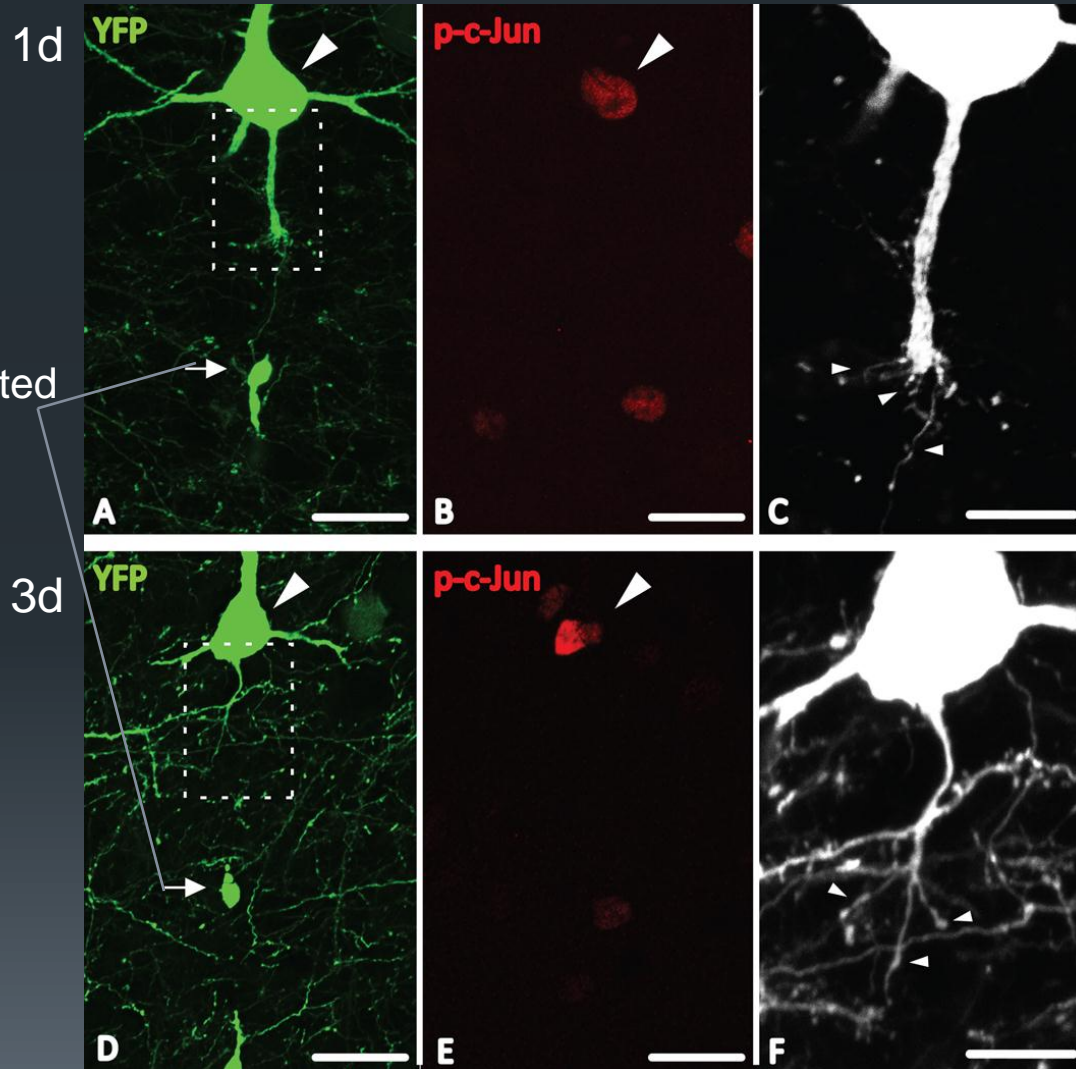
*Cytochrome c Release and Caspase-Linked Spectrin Proteolysis 180 min Postinjury*



# DAI: Neuron survives but atrophies; also early regenerative response



Greer et al, J Neurosci, 2011

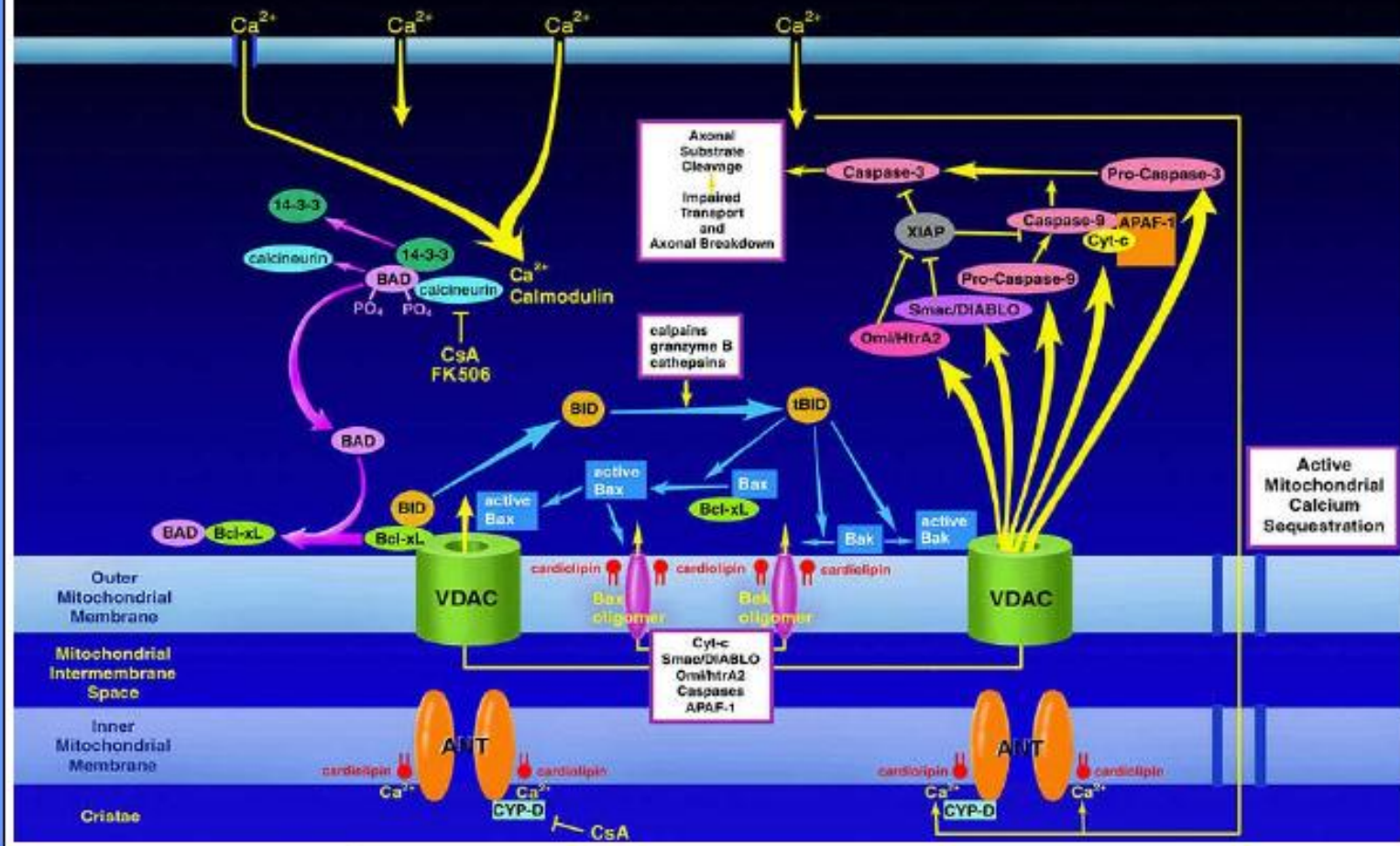


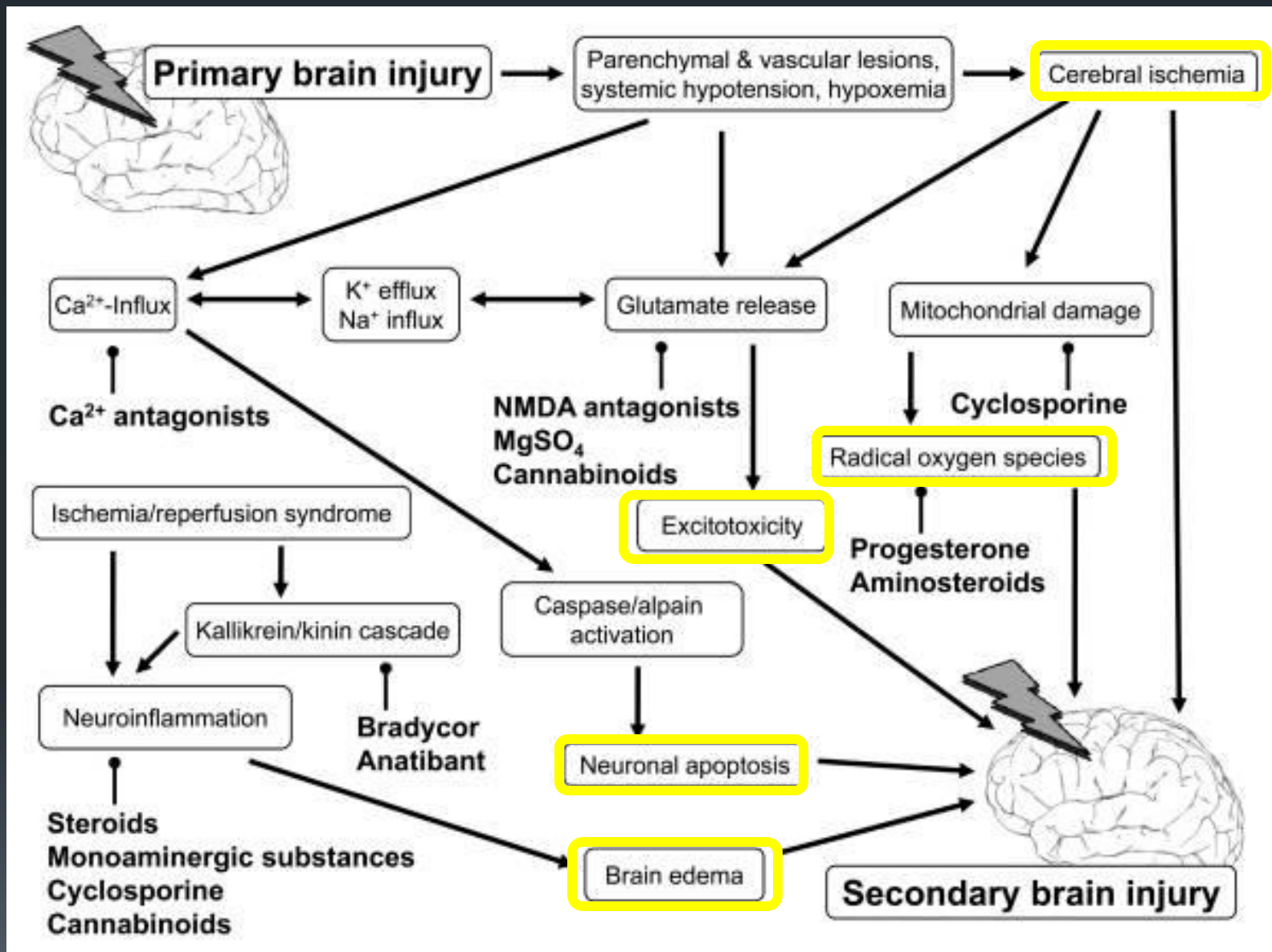
Reactive sprouting & axonal elongation (arrows)

C-Jun: in cell body - regulator of axonal regeneration (can also trigger apoptosis)

Disconnected distal axon

## Proposed Mechanisms of Axonal Degeneration









## Future – treatment? prevention?

- **Ethylene Glycol** – sealing membranes to prevent  $\text{Ca}^{++}$  influx and cascades leading to cytoskeletal damage
  - Smucker et al., Neurosurgery, 2009
- **FK-506, cyclosporine-A** – inhibitors of calcineuron mediated mitochondrial permeability transition & calpain protease destruction of spectrin reducing cytoskeletal damage Staal et al., Dev Neurobiol, 2007
  - (NeuroSTAT: cyclosporine – multicenter European trial – 2012 - )



# Imaging

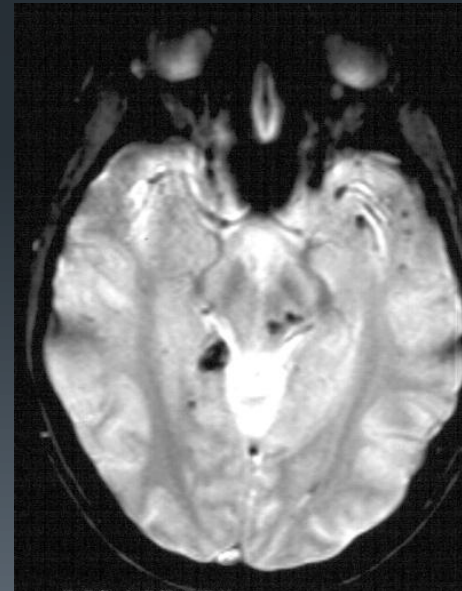
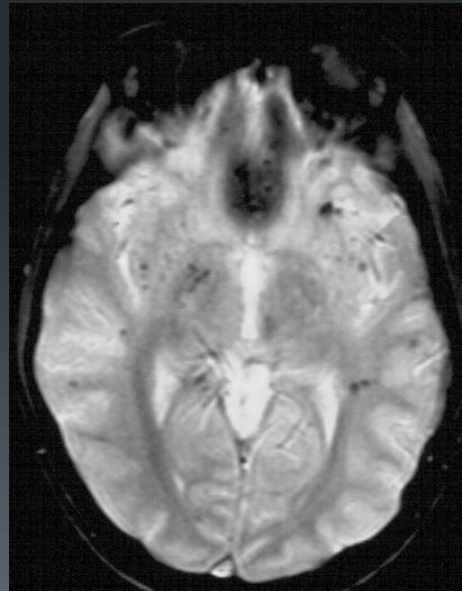
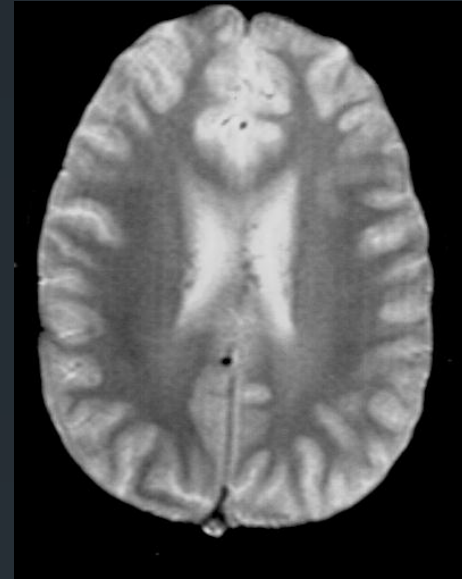
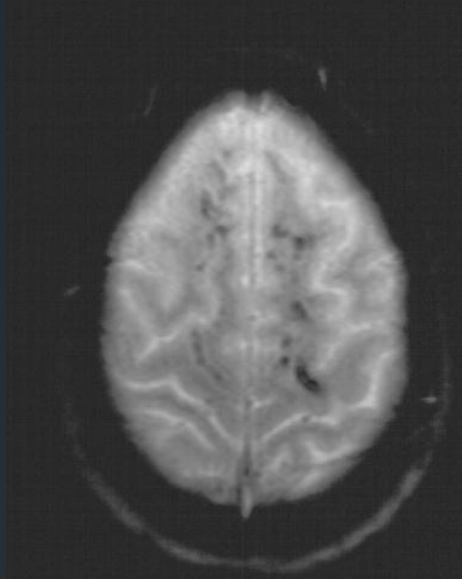
- Examples – DTI, fMRI

# Challenge of Clinical Diagnosis of DAI – finding imaging and other biomarkers

- Clinical characteristics:
  - acceleration/deceleration mechanism
  - immediate LOC (no lucid interval)
  - supportive findings on CT/MR (petechial white matter hemorrhages, subarachnoid or intraventricular hemorrhage diffuse swelling)

# 17yo Severe TBI in MVA

DAI:  
Gradient  
echo/  
Susceptibility  
Weighted  
MRI  
demonstrates  
petechial  
hemorrhages



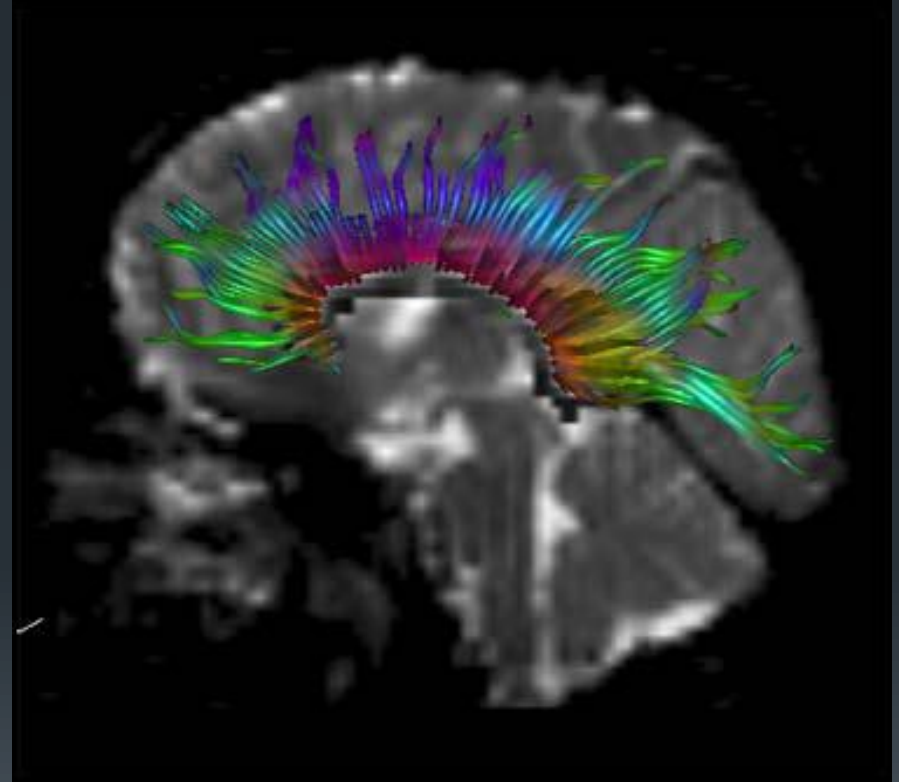
In parasagittal  
subcortical  
WM, temporal  
WM, corpus  
callosum, dlat.  
Midbrain, R  
int. capsule, et  
al.



# Potential markers of diffuse injury

- **Biomarkers of proteolytic damage** – protein fragments in CSF (e.g., S-100B, neuron specific enolase, alpha II spectrin breakdown products,)
- **Magnetic resonance spectroscopy (MRS)** – elevated choline, reduced N-acetylaspartate, increased creatine
- **Diffusion tensor imaging (DTI)** – white matter pathways

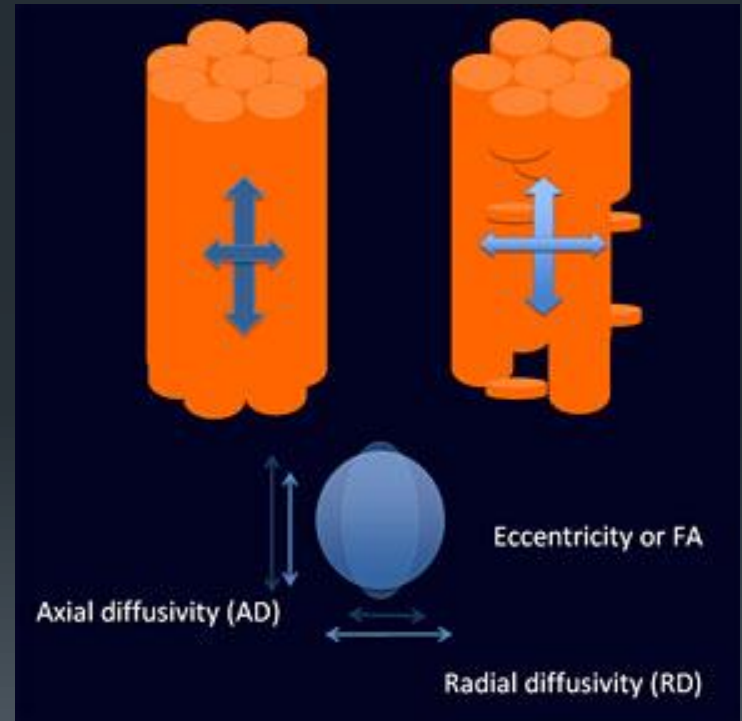
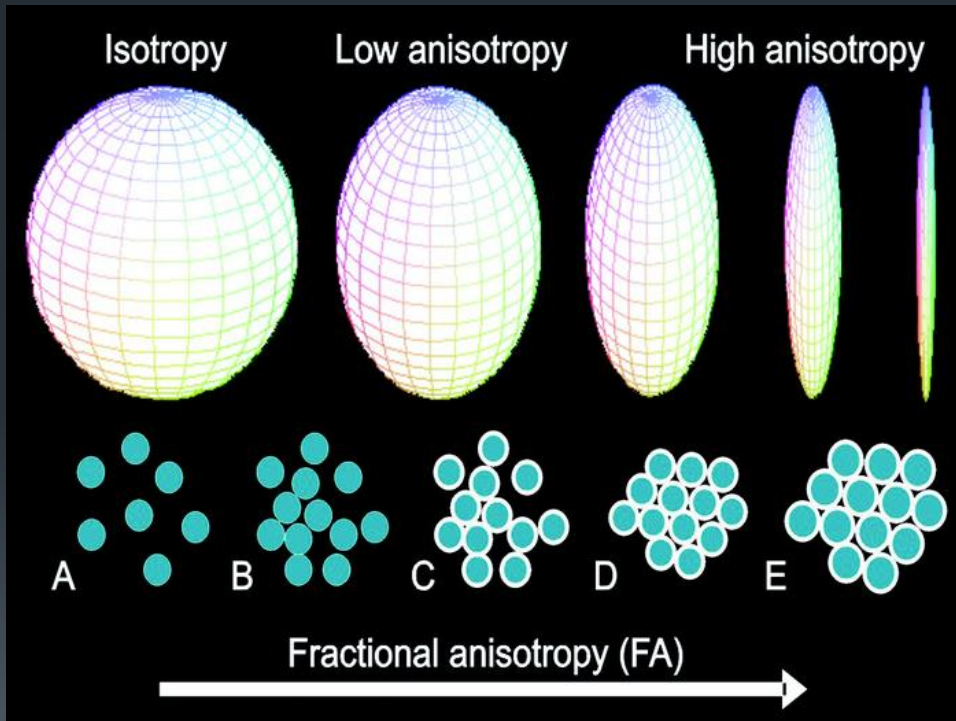
# Diffusion Tensor Imaging



Courtesy of Erin Bigler, PhD, Brigham Young Univ.

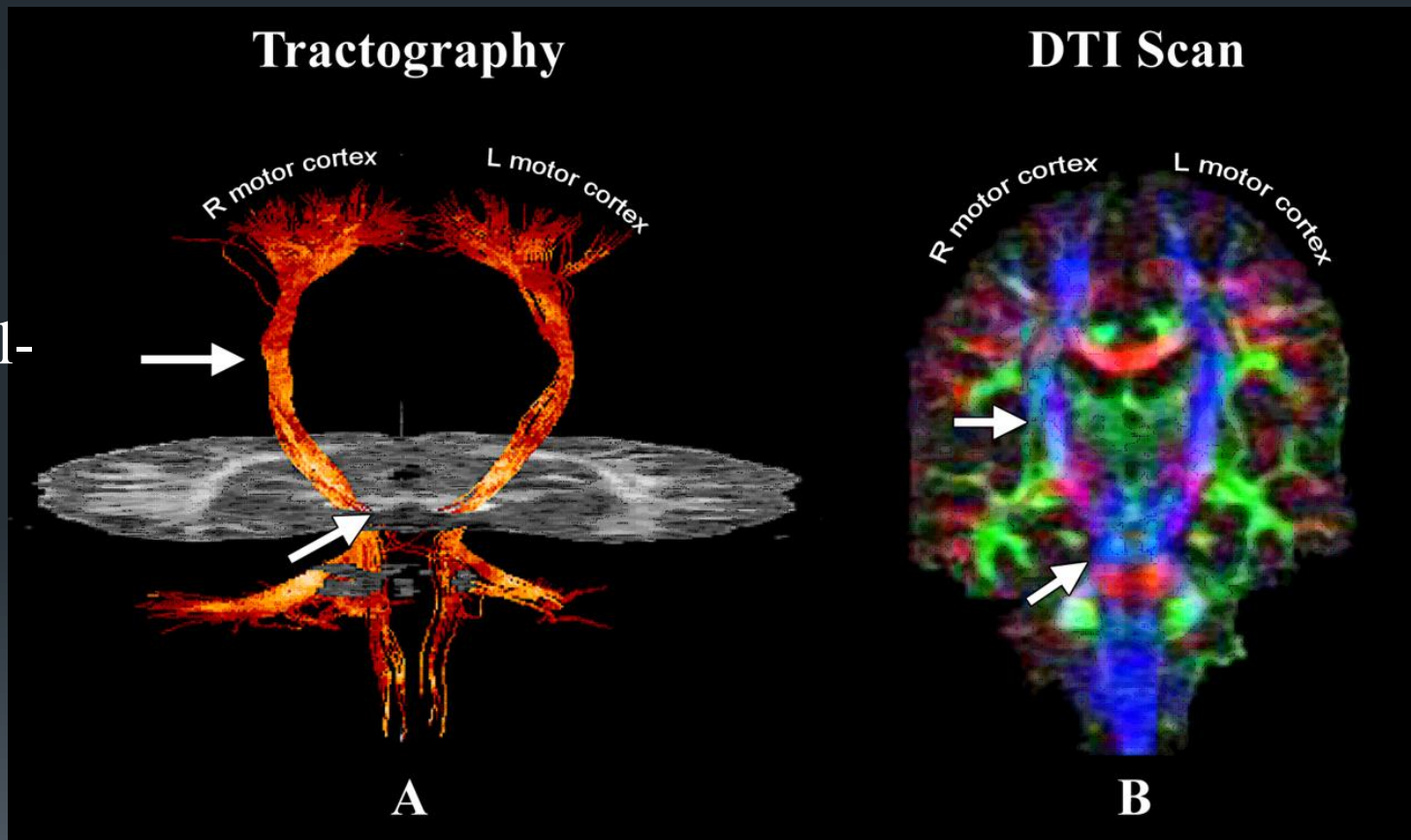


# Diffusion Tensor Imaging



# Diffusion Tensor Imaging

Cortical-  
spinal  
tracts

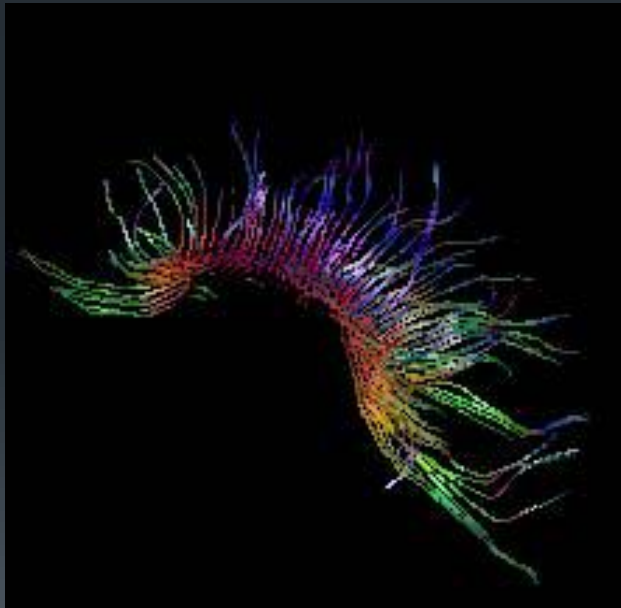


Lazar et al., 2003  
Bigler, 2006

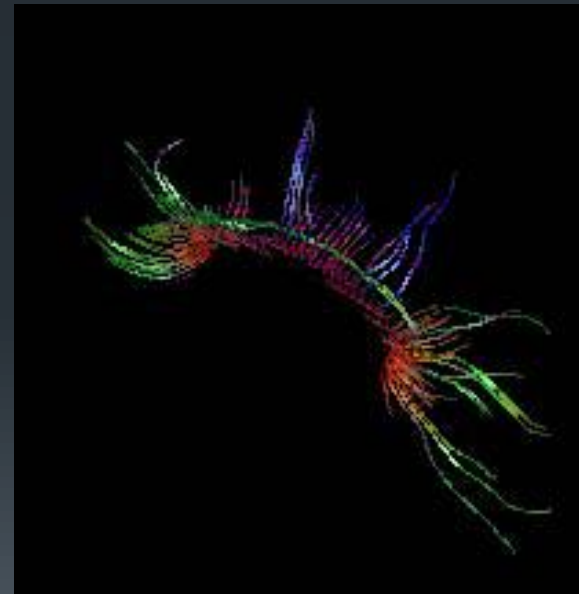


## Diffusion Tensor Imaging in the Corpus Callosum in Children after Moderate to Severe Traumatic Brain Injury

ELISABETH A. WILDE,<sup>1</sup> ZILI CHU,<sup>4</sup> ERIN D. BIGLER,<sup>5,6,7,8</sup> JILL V. HUNTER,<sup>4</sup>  
MICHAEL A. FEARING,<sup>5,9</sup> GERRI HANTEN,<sup>1</sup> MARY R. NEWSOME,<sup>1</sup>  
RANDALL S. SCHEIBEL,<sup>1</sup> XIAOQI LI,<sup>1</sup> and HARVEY S. LEVIN<sup>1,2,3</sup>



control



TBI



# DTI for mild TBI

- Over 45 studies to date
- Varying anatomic involvement; often corpus callosum, anterior coronal radiata, internal capsule and other large bundles
- Clinical-anatomic correlation: reduced FA: attentional functioning – L ant. Corona radiata; memory – uncinate fasciculus (Niogi et al. 2008)
- Varying results chronic patients: some +, a few –
  - One study: < 3mos abnormal FA in CC; > 3 mos normal (Rutgers et al. 2008); abnormal in more moderate;



# DTI for mild TBI

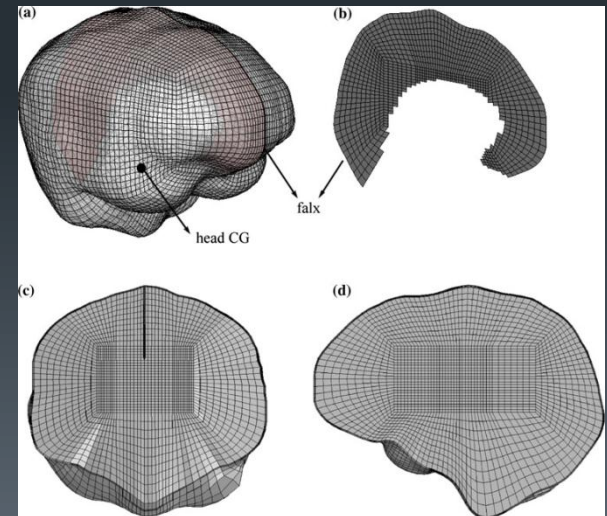
- Most cross sectional; few longitudinal studies
- DTI abnormalities not specific to mTBI; seen in other disorders that overlap with mTBI
  - ADHD
  - Depression
  - Substance abuse
  - Learning disability
- 22 with blast-related mTBI: 11 with major depression had lower FA in SLF, CC and CR than 11 without depression (Matthews et al., Neuroimage, 2011)

# DTI changes and strain measures

Mcallister et al, Ann Biochem Engineering, 2012

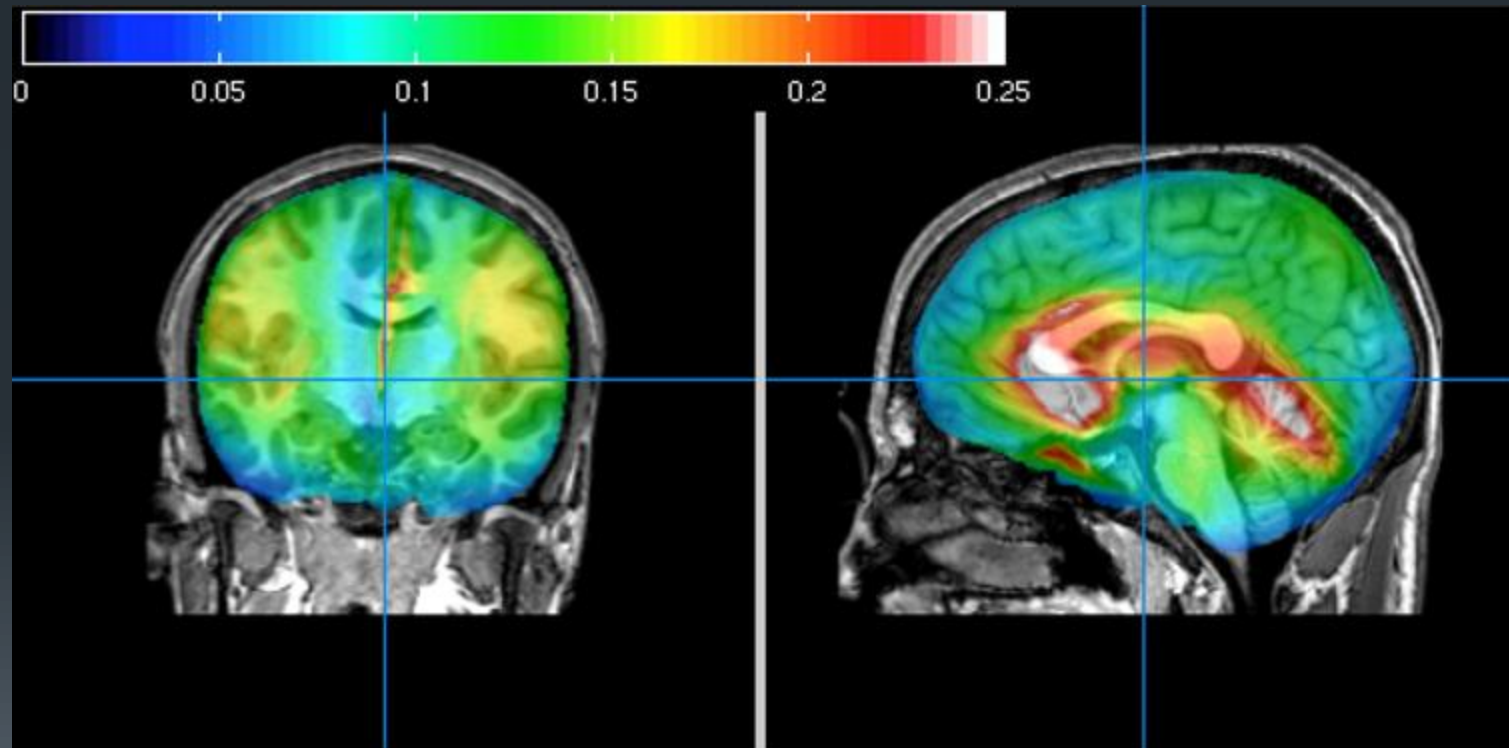
- Pilot study 10 football and hockey players with concussion
- Predictions regional strain – Finite element biomechanical simulations of strain and strain rates using helmet sensors and MRI
- Pre-injury and post-injury MRI with DTI

Subject specific strain mesh



# Peak strain map - maximal in & around CC

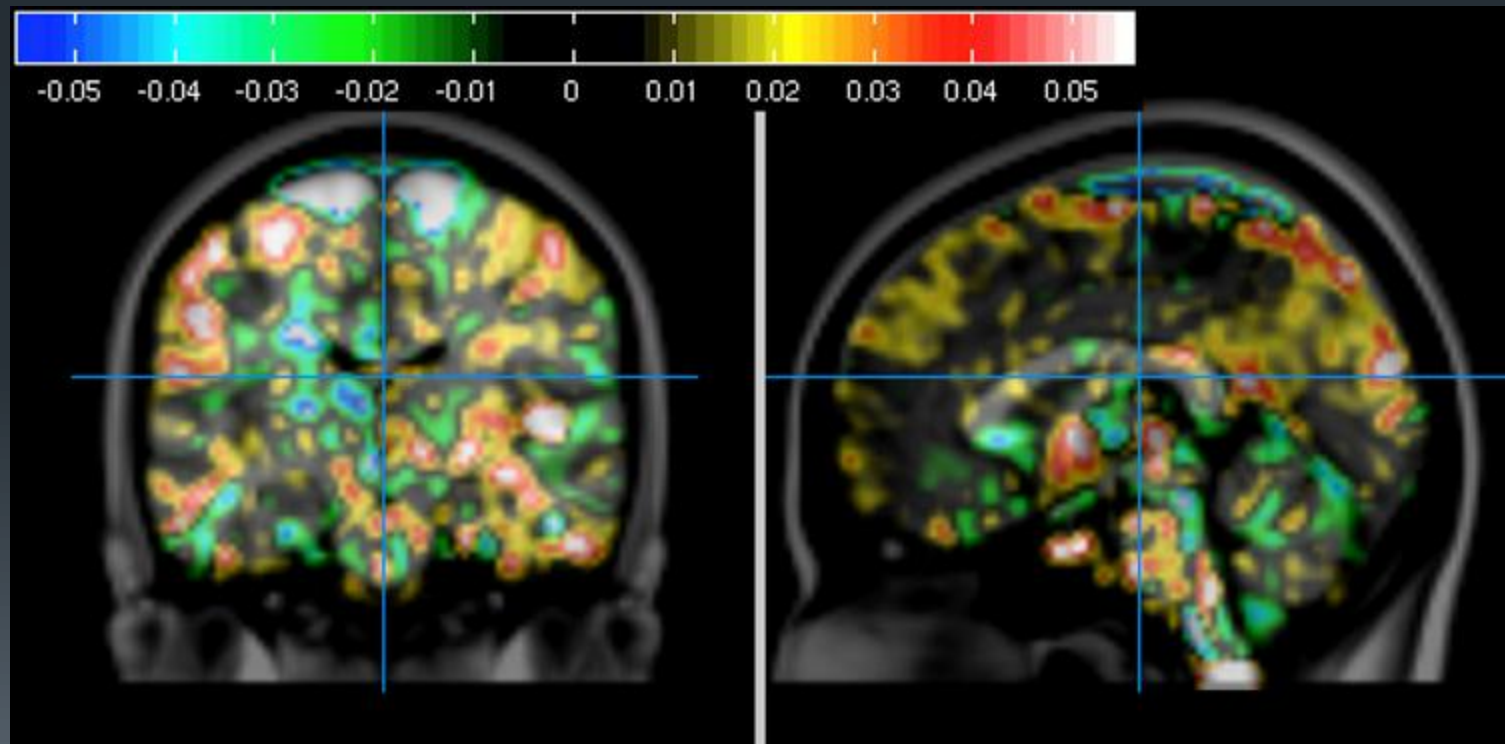
Mcallister et al, Ann Biochem Engineering, 2012



Example: 18yo concussed college football player

# Significant correlation strain rate with FA changes on DTI – pre- vs. post- concussion

Mcallister et al, Ann Biochem Engineering, 2012

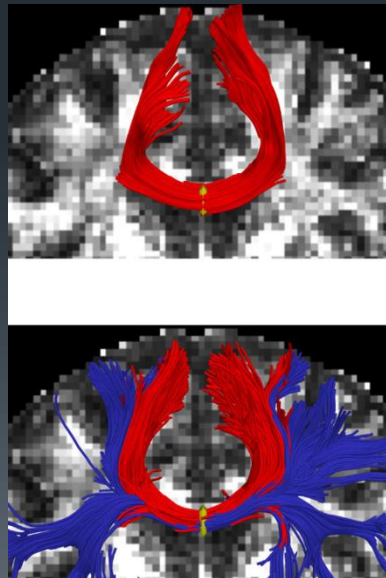


Example: 18yo concussed college football player

# Future directions -DTI

- Multimodal assessments – DTI, fMRI, MRS
- DTI free-water measures: tissue damage vs. extracellular edema
- Diffusion Kurtosis Imaging (DKI): more sensitive to cell damage
- Tractography with improved fiber tracking – e.g., multi-tensor model

CC pathways

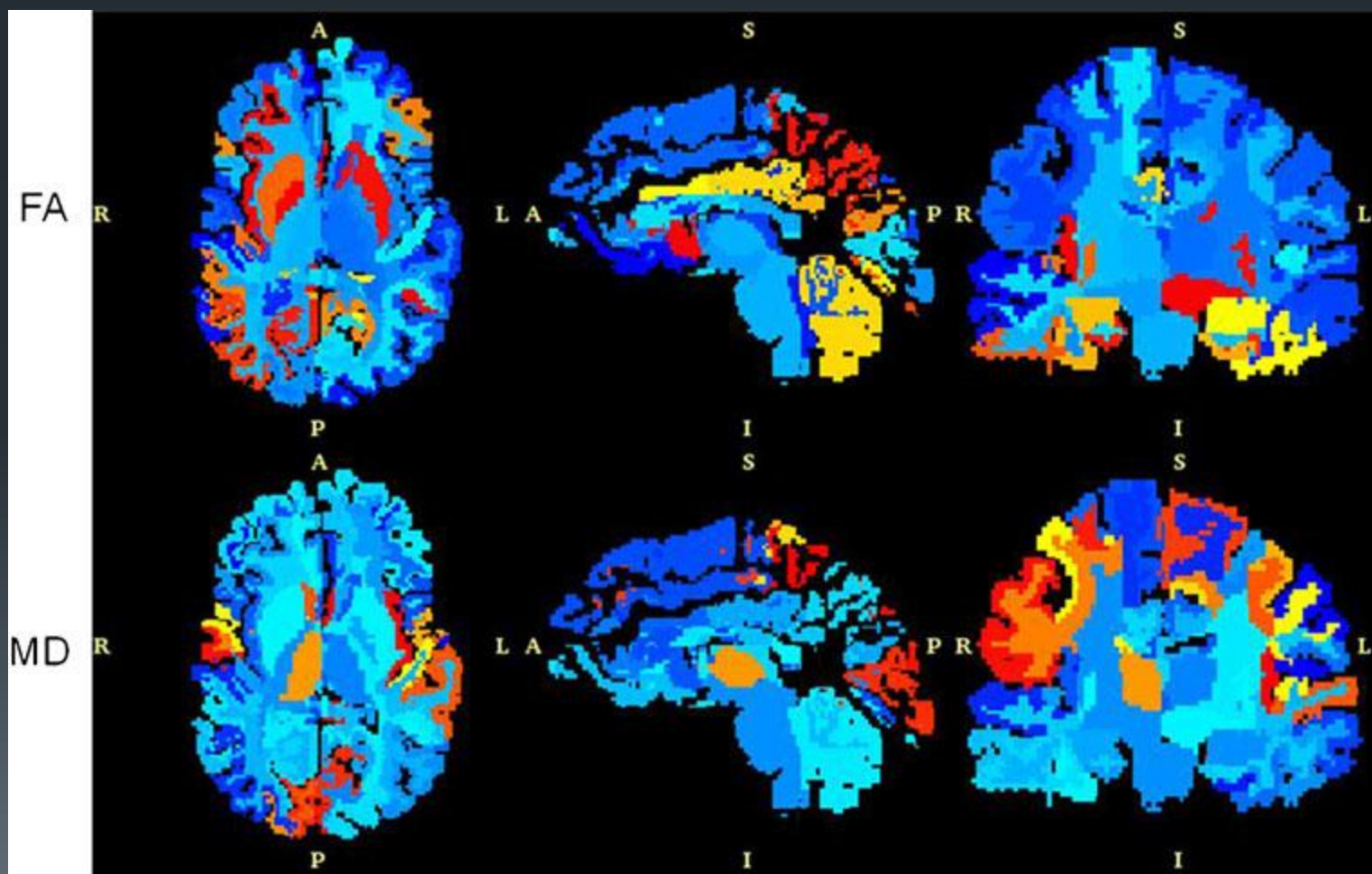


Single Tensor model

Two Tensor model

# DTI: Z-Score map using normative atlas: pt with chronic mTBI – abnormal FA and MD compared to normal controls

Shenton et al., Brain Imaging and Behavior, 2012





Imaging: fMRI, cognition and  
communication  
(very severe TBI with disorders of  
consciousness)



## MEDICAL ASPECTS OF THE PERSISTENT VEGETATIVE STATE

(First of Two Parts)

THE MULTI-SOCIETY TASK FORCE ON PVS\*

**Abstract** This consensus statement of the Multi-Society Task Force summarizes current knowledge of the medical aspects of the persistent vegetative state in adults and children.

The vegetative state is a clinical condition of complete unawareness of the self and the environment, accompanied by sleep-wake cycles, with either complete or partial preservation of hypothalamic and brain-stem autonomic functions. In addition, patients in a vegetative state show no evidence of sustained, reproducible, purposeful, or voluntary behavioral responses to visual, auditory, tactile, or noxious stimuli; show no evidence of language comprehension or expression; have bowel and bladder incontinence; and have variably preserved cranial-nerve and spinal reflexes. We define persistent vegetative state as a vegetative state present one month after acute traumatic or nontraumatic brain injury or lasting for at least one month in patients with degenerative or metabolic disorders or developmental malformations.

The clinical course and outcome of a persistent vegetative state depend on its cause. Three categories of disorder can cause such a state: acute traumatic and nontraumatic brain injuries, degenerative and metabolic brain disorders, and severe congenital malformations of the nervous system.

Recovery of consciousness from a posttraumatic persistent vegetative state is unlikely after 12 months in adults and children. Recovery from a nontraumatic persistent vegetative state after three months is exceedingly rare in both adults and children. Patients with degenerative or metabolic disorders or congenital malformations who remain in a persistent vegetative state for several months are unlikely to recover consciousness. The life span of adults and children in such a state is substantially reduced. For most such patients, life expectancy ranges from 2 to 5 years; survival beyond 10 years is unusual. (N Engl J Med 1994;330:1499-508.)

THE term "persistent vegetative state" was coined by Jennett and Plum in 1972 to describe the con-

judgment, a persistent vegetative state is only one form of permanent unconsciousness.<sup>2</sup> The others in-

# 1973, 1994

## PVS

2002  
MCS



Special Article

CME

## The minimally conscious state

### Definition and diagnostic criteria

J.T. Giacino, PhD; S. Ashwal, MD; N. Childs, MD; R. Cranford, MD; B. Jennett, MD; D.I. Katz, MD; J.P. Kelly, MD; J.H. Rosenberg, MD; J. Whyte, MD, PhD; R.D. Zafonte, DO; and N.D. Zasler, MD

**Abstract—Objective:** To establish consensus recommendations among health care specialties for defining and establishing diagnostic criteria for the minimally conscious state (MCS). **Background:** There is a subgroup of patients with severe alteration in consciousness who do not meet diagnostic criteria for coma or the vegetative state (VS). These patients demonstrate inconsistent but discernible evidence of consciousness. It is important to distinguish patients in MCS from those in coma and VS because preliminary findings suggest that there are meaningful differences in outcome. **Methods:** An evidence-based literature review of disorders of consciousness was completed to define MCS, develop diagnostic criteria for entry into MCS, and identify markers for emergence to higher levels of cognitive function. **Results:** There were insufficient data to establish evidence-based guidelines for diagnosis, prognosis, and management of MCS. Therefore, a consensus-based case definition with behaviorally referenced diagnostic criteria was formulated to facilitate future empirical investigation. **Conclusions:** MCS is characterized by inconsistent but clearly discernible behavioral evidence of consciousness and can be distinguished from coma and VS by documenting the presence of specific behavioral features not found in either of these conditions. Patients may evolve to MCS from coma or VS after acute brain injury. MCS may also result from degenerative or congenital nervous system disorders. This condition is often transient but may also exist as a permanent outcome. Defining MCS should promote further research on its epidemiology, neuropathology, natural history, and management.

NEUROLOGY 2002;58:349-353

# Using fMRI to probe thinking & intent (active fMRI paradigm)



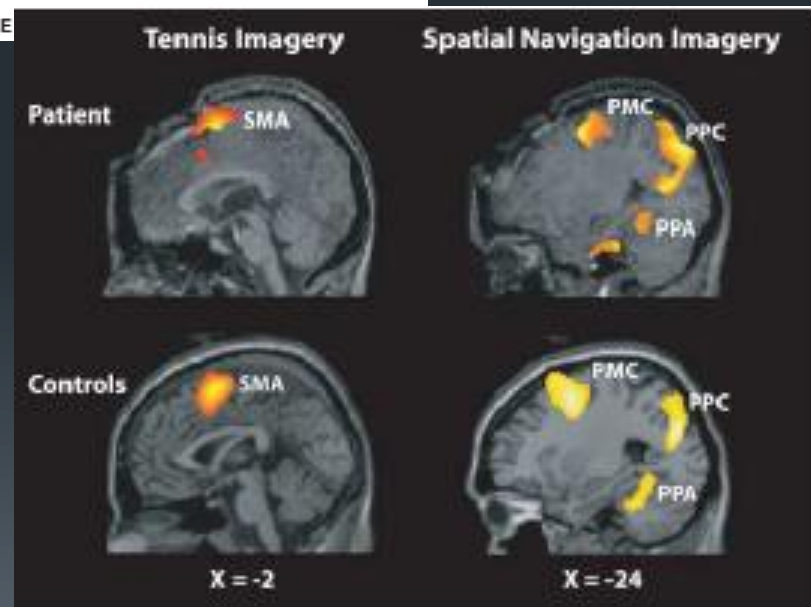
## Detecting Awareness in the Vegetative State

Adrian M. Owen,<sup>1\*</sup> Martin R. Coleman,<sup>2</sup> Melanie Boly,<sup>3</sup> Matthew H. Davis,<sup>1</sup> Steven Laureys,<sup>3</sup> John D. Pickard<sup>2</sup>

8 SEPTEMBER 2006 VOL 313 SCIENCE

23yo F with TBI 5 mos. p MVA

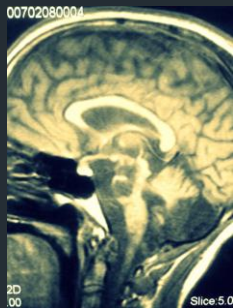
‘Imagine hitting a tennis ball.’



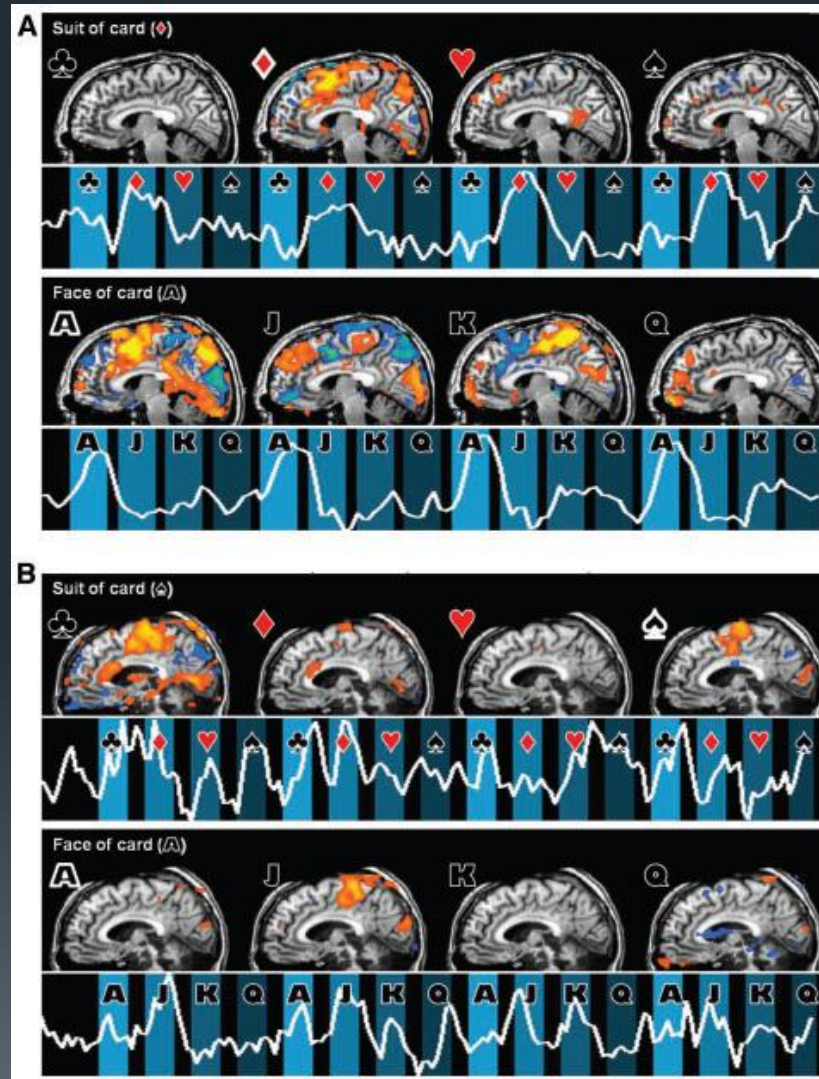
‘Imagine moving through your house.’

# fMRI findings – multiple choice active paradigm

Bardin et al., Brain, 2011



PtM: pons, midbrain & medial thalamic lesions;  
VS vs. functionally locked-in)



Control: activated SMA accurately for chosen card, Ace of Diamonds

Pt M: activated correct or incorrect next choice for chosen card, Ace of Spades

Suggests accurate but delayed response  
(Occurred 1 of 2 days of testing.)

SMA activates when imagining activity (e.g., rock climbing; swimming) to indicate choice

# Willful Modulation of Brain Activity in Disorders of Consciousness (DOC)

Monti et al. NEJM

2010

- 54 pts (TBI and nonTBI) – VS 23, MCS 31, Ctrl 16
- 5 of 54 patients (all TBI) able to modulate brain activity on fMRI – motor (tennis) or spatial (moving around house)
- 4/5 were admitted in VS and 2/5 remained VS on behavioral exams
- Most MCS patients could not modulate fMRI

# Using Modulation of Brain Activity to communicate in DOC

Monti et al. NEJM 2010

- 1 pt (VS) indicated yes/no responses accurately linked to fMRI pattern: e.g., yes = motor imagery; no= spatial imagery pattern

# Patient (MCS on behavior exam)

# Control

A "Is your father's name Alexander?" "Yes" response with the use of motor imagery



Patient



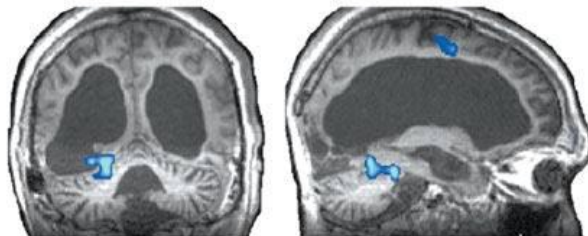
B "Do you have any brothers?" "Yes" response with the use of motor imagery



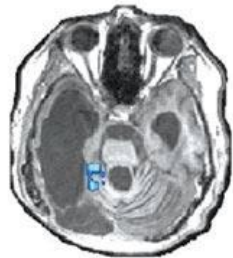
Control



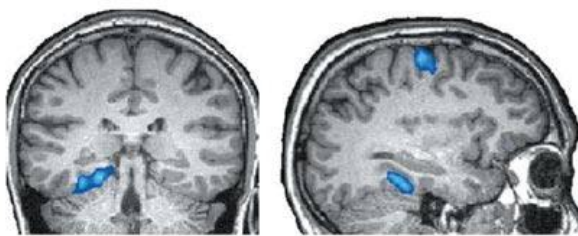
C "Is your father's name Thomas?" "No" response with the use of spatial imagery



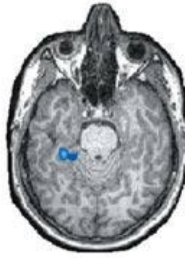
Patient



D "Do you have any sisters?" "No" response with the use of spatial imagery



Control



Yes =  
Motor imagery

No =  
Spatial imagery



# Electrophysiologic evaluation of consciousness

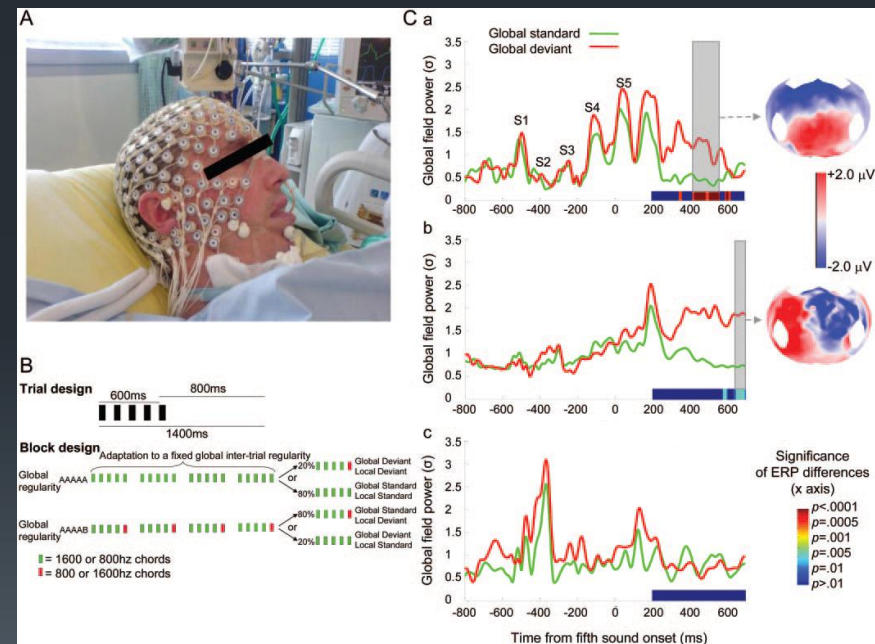
D. Cruse et al. Lancet, 2011

- EEG paradigm: response to imagine moving hand and toes
- 16 patients in VS and 12 healthy controls
- 2/5 TBI and 1/11 non-TBI in VS showed command following by EEG response but not on behavioral evaluation (CRS-R)

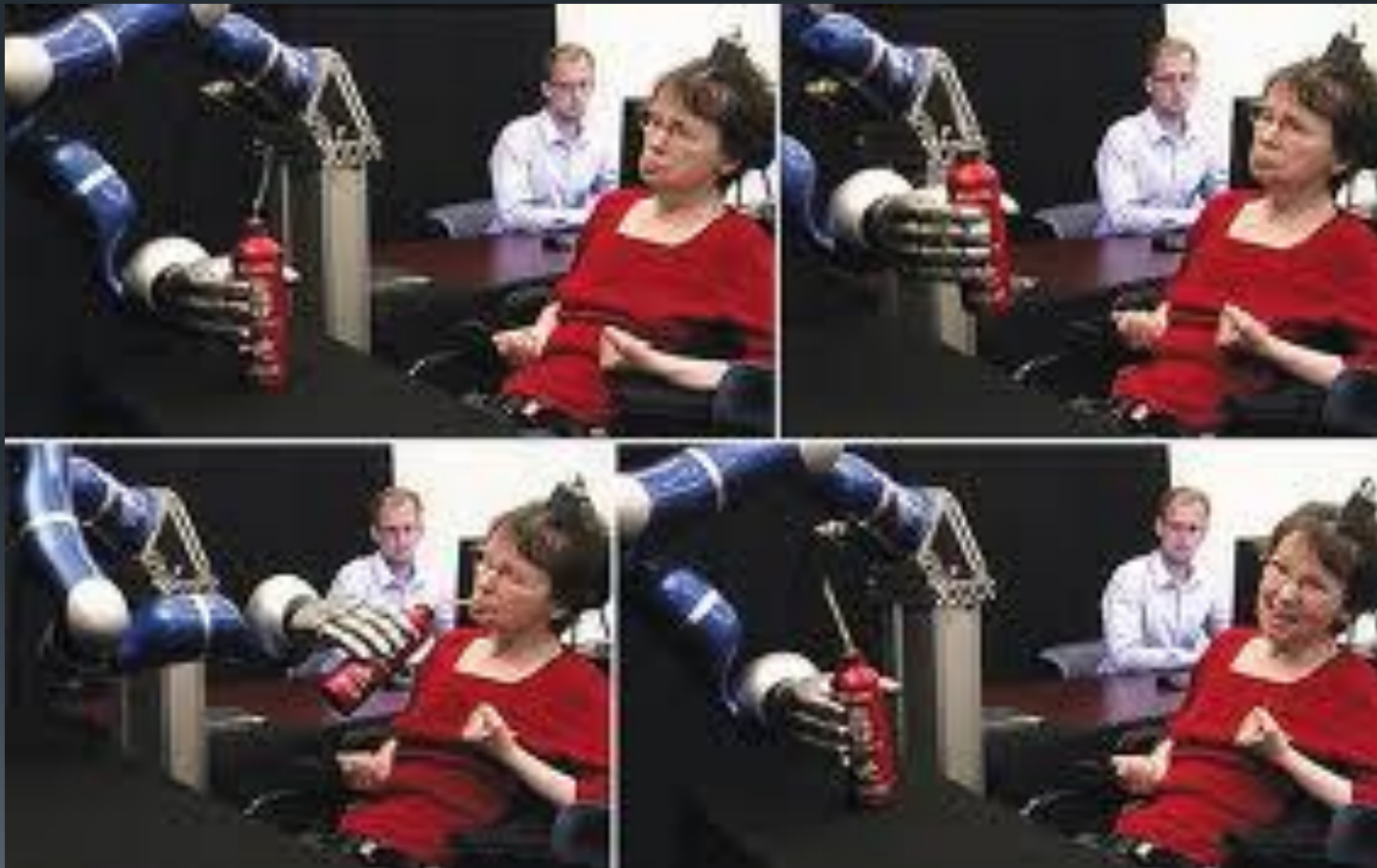
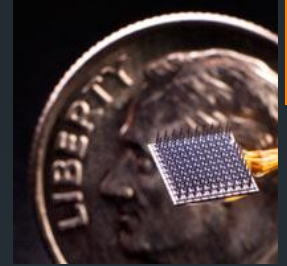


# Brain-Computer Interfacing in disorders of consciousness & LIS

- EEG-based: P3 potential, sensorimotor rhythms, steady state oscillations and slow cortical potentials
- Lule et al. [Clin Neurophysiol.](#) 2012: mixed results 4-choice auditory oddball EEG-BCI paradigm
  - 13/18 healthy, 1 LIS were able to communicate; 1 MCS patients followed commands.



# Brain Computer Interface – BrainGate - L. Hochberg, JP Donoghue (MGH/Brown U.)



Cathy Hutchinson



# Future directions

- More elaborate and more accessible brain computer interfaces to probe consciousness and allow communication and environmental interactions in patients with profound impairments.



# Outcome

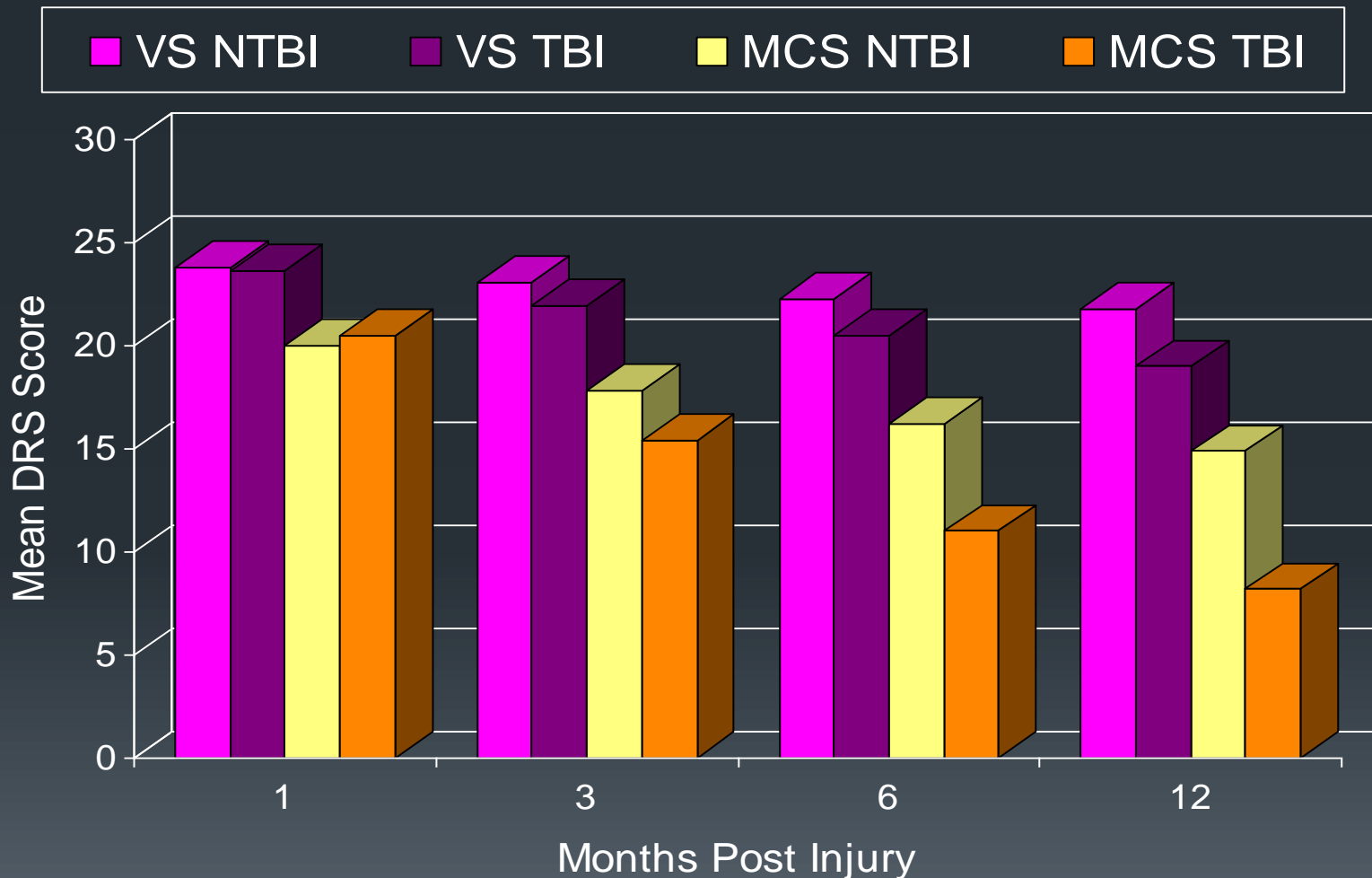
- Example: very severe TBI

# Prognosis Vegetative State: Probability of recovering consciousness

Multisoc. Task Force, NEJM, 1994

- Patients with TBI unconscious 1 month:
  - 33% by 3 mos; 46% by 6 mos; 52% by 1 year; very few additional after 1 year
- Patients with nonTBI unconscious 1 month:
  - 11% by 3 mos; 15% by 6 months; no additional recovery after 6 months
- MSTF suggested term “*permanent vegetative state*” for 1 year post-TBI and 3 mos post-nonTBI

# Comparison of Outcome at 1 Year in Persons Diagnosed with VS and MCS admitted to rehabilitation



*(Giacino & Kalmar, JHTR, 1997)*



# Late recovery from VS and MCS

- Estraneo et al., Neurology, 2010
  - 50 patients with prolonged VS followed with DRS and CRS-R up to 4+ years (mean 25.7 mos)
  - 10 patients (20%) recovered to MCS after 1 year (6 TBI; 4 nonTBI [ $\frac{3}{4}$  anoxia])
  - 6 patients (12%) recovered full consciousness (2 with nonTBI [anoxia] )
- Luauté et al., Neurology, 2010
  - 51 patients in VS or MCS at one year assessed over 5 years
  - 0/12 in VS improved but 13/39 in MCS recovered to full consciousness (severe disability level)



## Recovery after severe DOC: 1-4 year outcome (n=36)

- 72% emerged from MCS (TBI 77%)
- 58% cleared CS/PTA (TBI 77%)
  - if failed to clear by 1-4 yrs.: nonTBI or VS>8wks
- followed >1year
  - 43% achieved household independence (TBI 53%)
  - 22% returned to work or school (11% at or near premorbid level)



# Outcome Disorders of Consciousness: admitted to rehabilitation

- Nakase-Richardson et al., J Neurotrauma, 2011:
  - N=396 from NIDRR Model Systems followed during rehab. admission, 1,2, 5 years
  - By rehab. D/C - 68% regained consciousness and 23% cleared PTA
  - By latest f/u 21% of survivors (n=309) could be independent at home and 20% could be employed.



# Future directions

- Larger prospective studies to develop more accurate outcome predictors for patients with very severe TBI & DOC
- Identify patients who may benefit from longer term active rehabilitative efforts



# Treatment

- Example - very severe TBI and DOC

ORIGINAL ARTICLE

## Placebo-Controlled Trial of Amantadine for Severe Traumatic Brain Injury

Joseph T. Giacino, Ph.D., John Whyte, M.D., Ph.D., Emilia Bagiella, Ph.D., Kathleen Kalmar, Ph.D., Nancy Childs, M.D., Allen Khademi, M.D., Bernd Eifert, M.D., David Long, M.D., Douglas I. Katz, M.D., Sooja Cho, M.D., Stuart A. Yablon, M.D., Marianne Luther, M.D., Flora M. Hammond, M.D., Annette Nordenbo, M.D., Paul Novak, O.T.R., Walt Mercer, Ph.D., Petra Maurer-Karattup, Dr.Rer.Nat., and Mark Sherer, Ph.D.

### ABSTRACT

#### BACKGROUND

Amantadine hydrochloride is one of the most commonly prescribed medications for patients with prolonged disorders of consciousness after traumatic brain injury. Preliminary studies have suggested that amantadine may promote functional recovery.

#### METHODS

We enrolled 184 patients who were in a vegetative or minimally conscious state 4 to 16 weeks after traumatic brain injury and who were receiving inpatient rehabilitation. Patients were randomly assigned to receive amantadine or placebo for 4 weeks and were followed for 2 weeks after the treatment was discontinued. The rate of functional recovery on the Disability Rating Scale (DRS; range, 0 to 29, with higher scores indicating greater disability) was compared over the 4 weeks of treatment (primary outcome) and during the 2-week washout period with the use of mixed-effects regression models.

From the JFK Johnson Rehabilitation Institute, Edison, NJ (J.T.G., K.K., A.K.); Spaulding Rehabilitation Hospital and Department of Physical Medicine and Rehabilitation, Harvard Medical School (J.T.G.), and Department of Neurology, Boston University School of Medicine (D.I.K.) — all in Boston; Moss Rehabilitation Research Institute, Albert Einstein Healthcare Network, Elkins Park (J.W., S.C.), and Brain Injury Program, Bryn Mawr Rehab Hospital, Malvern (D.L.) — both in Pennsylvania; Department of Biostatistics, Mailman School of Public Health, Columbia University, New York (E.B.); Texas NeuroRehab Center, Austin (N.C., W.M.); SRH Fachkrankenhaus Neresheim, Neresheim (B.E., P.M.K.); and Center for Health Rehabilitation, University of Applied Sciences, Würzburg (M.S.).

# Effects of medications on recovery of consciousness

## Predictors of Outcome in Prolonged Posttraumatic Disorders of Consciousness and Assessment of Medication Effects: A Multicenter Study

John Whyte, MD, PhD, Douglas Katz, MD, David Long, MD, Madeline C. DiPasquale, PhD, Marcia Polansky, ScD, Kathleen Kalmar, PhD, Joseph Giacino, PhD, Nancy Childs, MD, Walt Mercer, PhD, Paul Novak, MS, OTR, Petra Maurer, PhD, Bernd Eifert, MD

**ABSTRACT.** Whyte J, Katz D, Long D, DiPasquale MC, Polansky M, Kalmar K, Giacino J, Childs N, Mercer W, Novak P, Maurer P, Eifert B. Predictors of outcome in prolonged posttraumatic disorders of consciousness and assessment of medication effects: a multicenter study. *Arch Phys Med Rehabil* 2005;86:453-62.

**Objectives:** To develop predictive models of recovery from the vegetative state (VS) and minimally conscious state (MCS) after traumatic brain injury (TBI) and to gather preliminary evidence on the impact of various psychotropic medications on the recovery process to support future randomized controlled trials.

**Design:** Longitudinal observational cohort design, in which demographic information, injury and acute care history, neuroimaging data, and an initial Disability Rating Scale (DRS) score were collected at the time of study enrollment. Weekly follow-up data, consisting of DRS score, current psychoactive medications, and medical complications, were gathered until discharge from inpatient rehabilitation.

**Setting:** Seven acute inpatient rehabilitation facilities in the United States and Europe with specialized programs for treating patients in the VS and MCS.

**Participants:** People with TBI (N=124) who were in the VS or MCS 4 to 16 weeks after injury.

**Interventions:** Not applicable.

**Main Outcome Measures:** DRS score at 16 weeks after injury and time until commands were first followed (among those participants demonstrating no command following at study enrollment).

**Results:** DRS score at enrollment, time between injury and enrollment, and rate of DRS change during the first 2 weeks of poststudy observation were all highly predictive of both outcomes. No variables related to injury characteristics or lesions on neuroimaging were significant predictors. Of the psychoac-

tive medications, amantadine hydrochloride was associated with greater recovery and dantrolene sodium was associated with less recovery, in terms of the DRS score at 16 weeks but not the time until commands were followed. More detailed analysis of the timing of functional improvement, with respect to the initiation of amantadine provided suggestive, but not definitive, evidence of the drug's causal role.

**Conclusions:** These findings show the feasibility of improving outcome prediction from the VS and MCS using readily available clinical variables and provide suggestive evidence for the effects of amantadine and dantrolene, but these results require confirmation through randomized controlled trials.

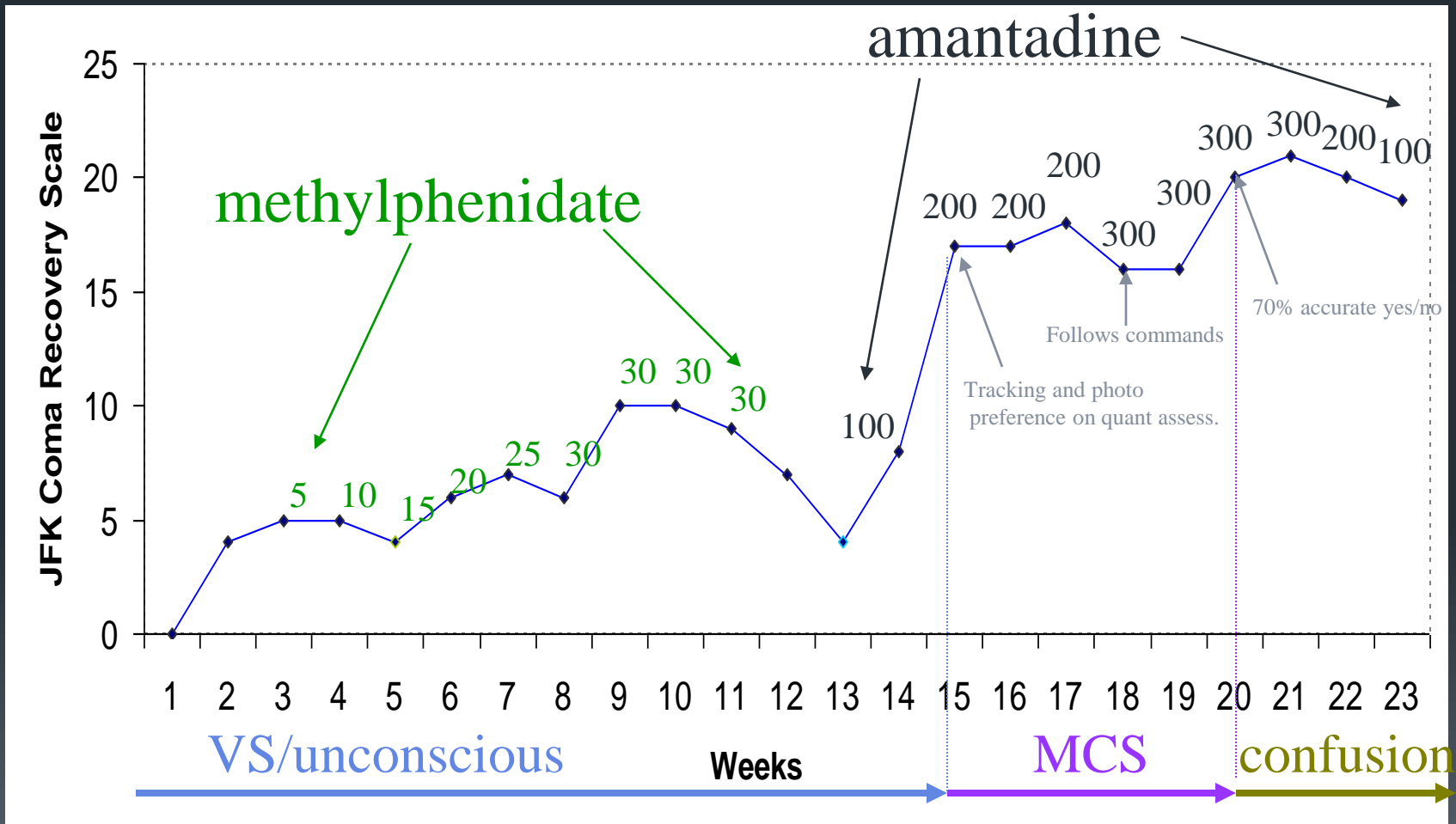
**Key Words:** Amantadine; Brain injuries; Dantrolene; Minimally conscious state; Persistent vegetative state; Projections and predictions; Rehabilitation; Treatment outcome.

© 2005 by American Congress of Rehabilitation Medicine and the American Academy of Physical Medicine and Rehabilitation

IT HAS BEEN DIFFICULT to establish reliable guidelines for outcome prediction and optimal clinical management for that subset of patients with traumatic brain injury (TBI) with prolonged impairment of consciousness. Although these patients all are known to have severe brain injuries as defined by such variables as the Glasgow Coma Scale (GCS), it has nonetheless been difficult to predict with certainty which of these patients will progress to greater degrees of consciousness or functional recovery and which rehabilitation interventions or medications might influence the recovery course. A better understanding of the likely outcomes for these patients would help in decisions about rehabilitation admission, rehabilitation program planning, and counseling of family members, as well as facilitate the

# Patient MS 40yoF TBI, severe DAI, prolonged DOC

## Recovery of consciousness



# A Multicenter Randomized Controlled Trial of the Effectiveness of Amantadine Hydrochloride (AH) in Promoting Recovery of Function Following Severe TBI

## Project Staff

### ▪ **Director:**

Joseph T. Giacino, Ph.D.

### ▪ **Co-Director:**

John Whyte, MD, Ph.D.

### ▪ **Data Coordinating Center P.I.:**

Emilia Bagiella, Ph.D.

### ▪ **Clinical Monitor:**

Kathleen Kalmar, Ph.D.

### ▪ **Consumer Dissemination Co-Directors:**

Mark Sherer, Ph.D.

Monica Vaccaro, M.A.

### ▪ **Clinical Site P.I.s:**

- Douglas Katz, MD (Braintree)
- David Long, MD (Bryn Mawr)
- Bernd Eifert, MD, Ph.D. (FKNE)
- Joseph T. Giacino, Ph.D. (JFK)
- Stuart Yablon, MD (MRC)
- Sooja Cho, MD (MRRI)
- Paul Novak, M.A. (Sunnyview)
- Nancy Childs, MD (TX NeuroRehab)
- Flora Hammond, MD (CRC)
- Marianne Luther, MD (Klinik Bad Aibling)
- Annette Nordenbo (Hvidovre)

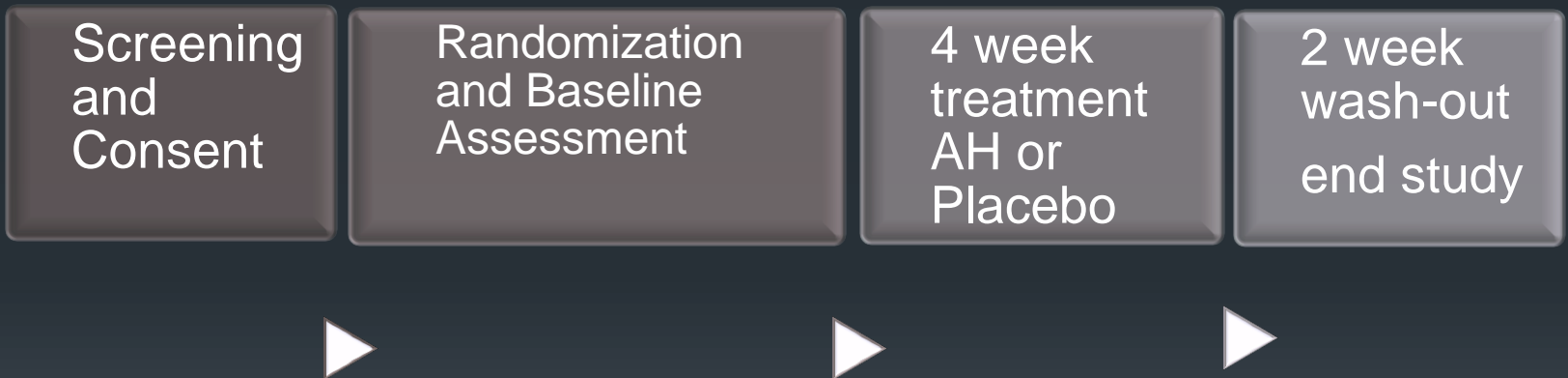


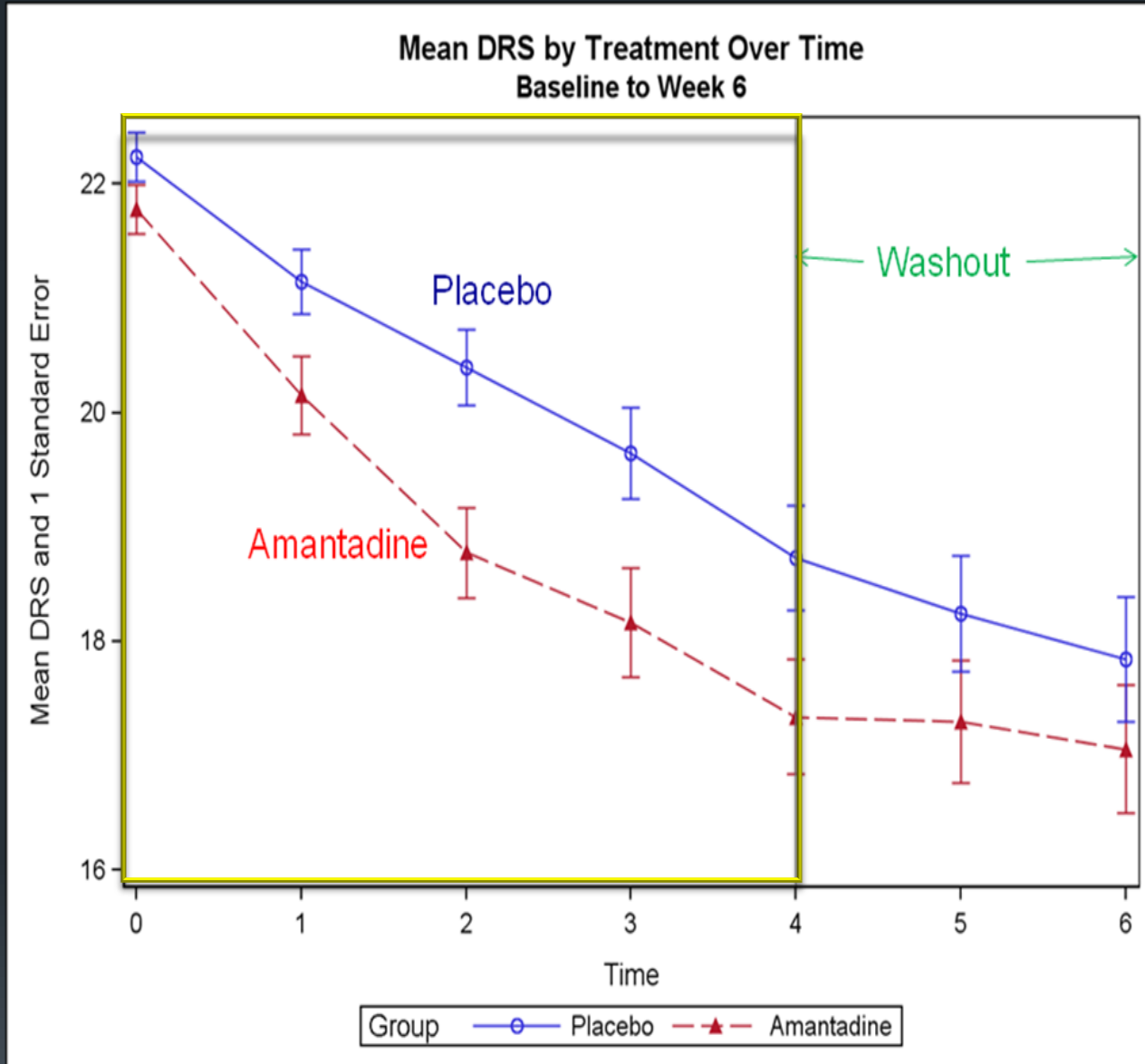
# Primary Aims

- Determine whether AH, given in a dose of 200 – 400 mg/day improves functional recovery from post-traumatic VS and MCS (4-16 weeks post-injury).
- Determine whether AH-related gains in function persist following drug discontinuation

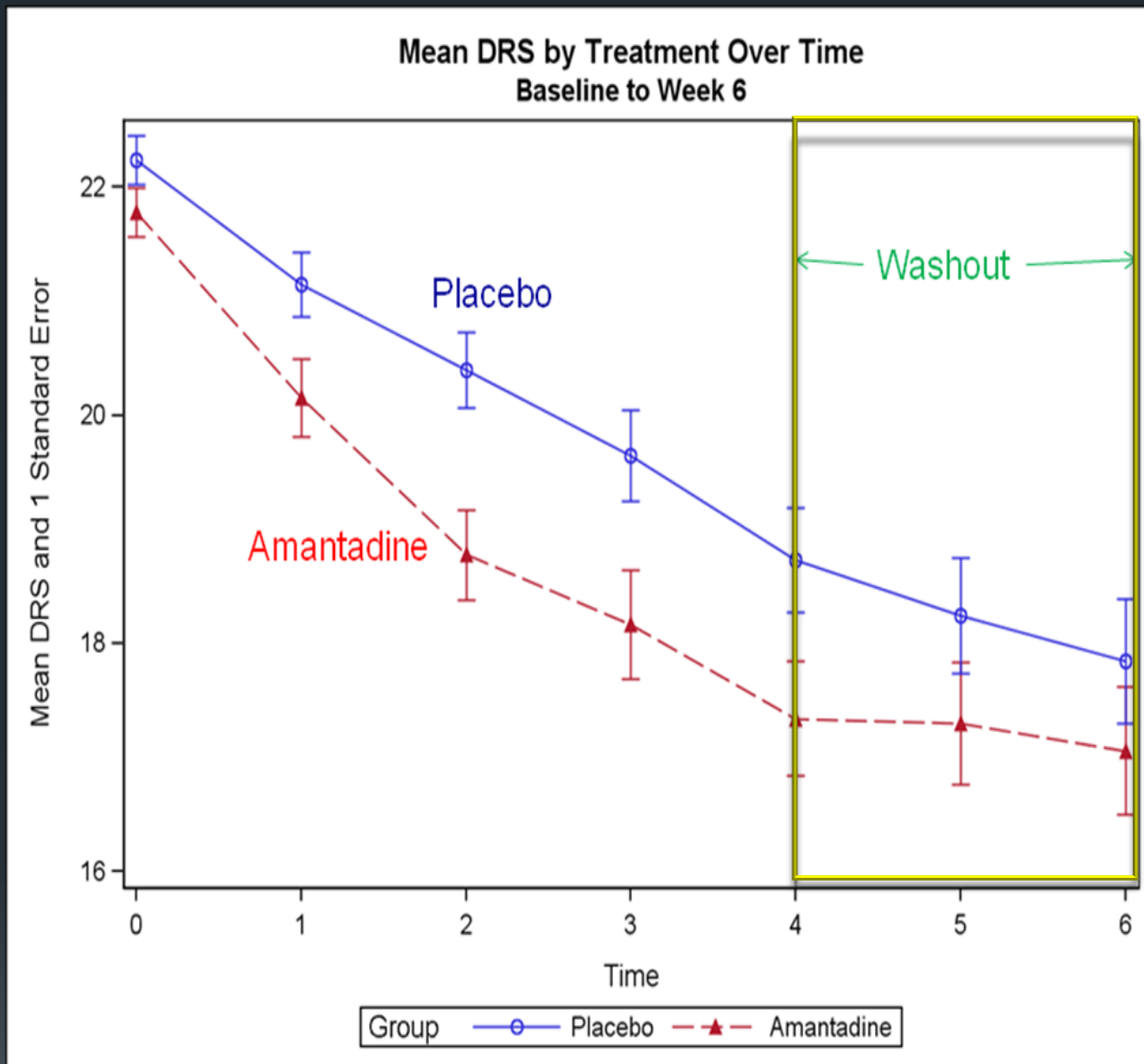


# Methods:



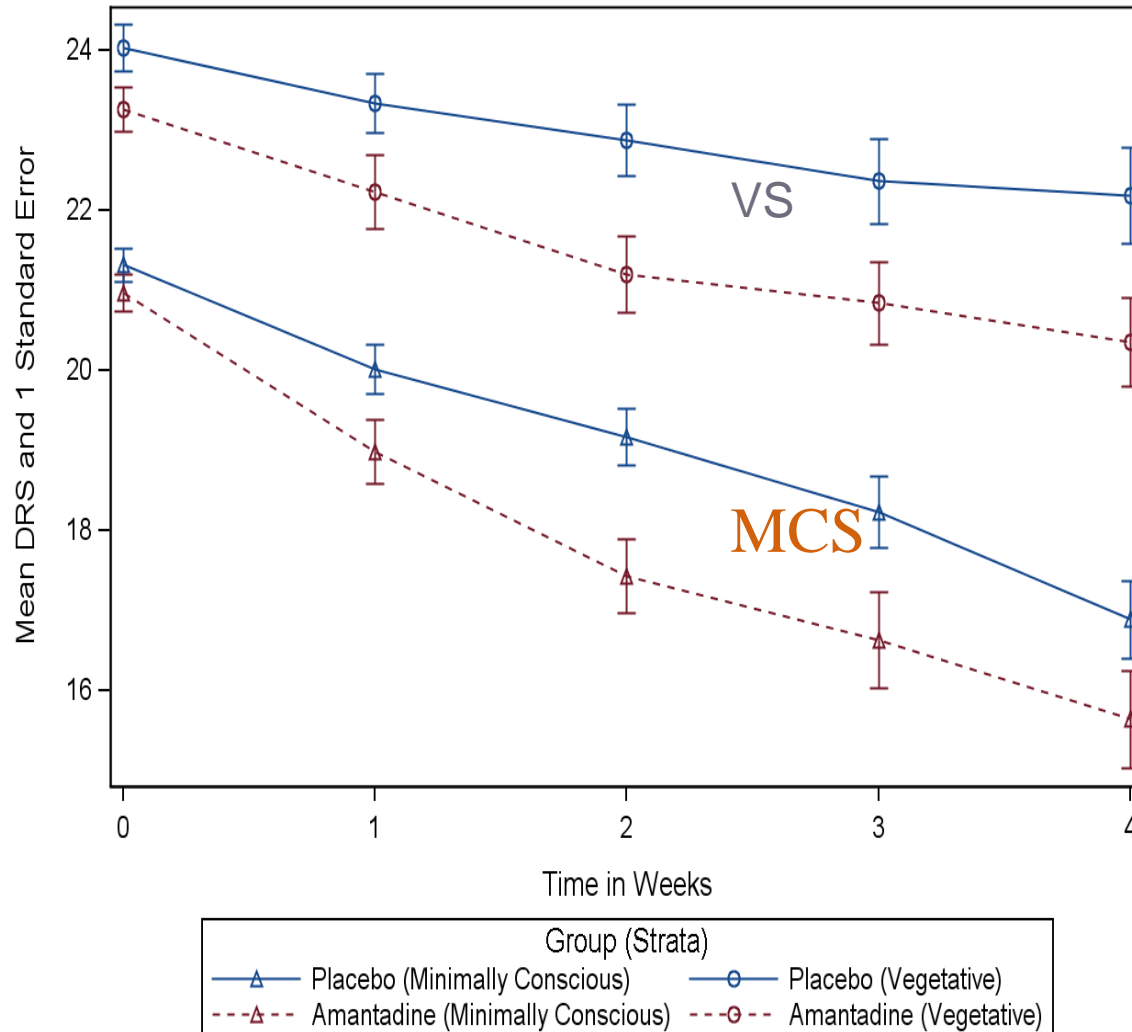


Patients who received AH had significantly faster functional recovery over 4 weeks of treatment (difference in DRS slope  $-0.24$  points/wk;  $p=0.007$ )

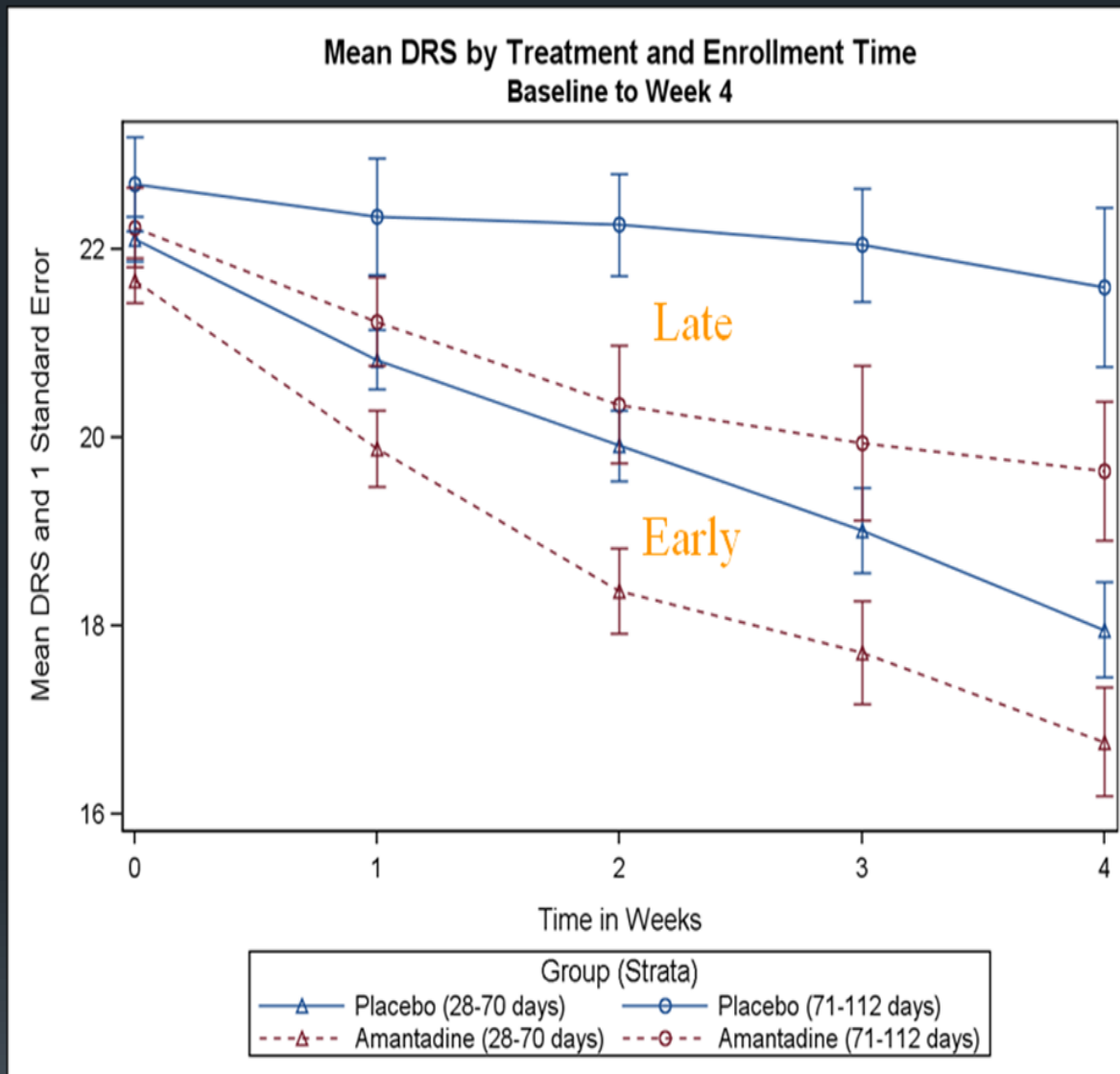


Functional gains were maintained during washout but recovery of the AH group slowed with respect to the placebo group ( $p=.02$ ).

Mean DRS by Treatment and CRS-R Rating  
Baseline to Week 4

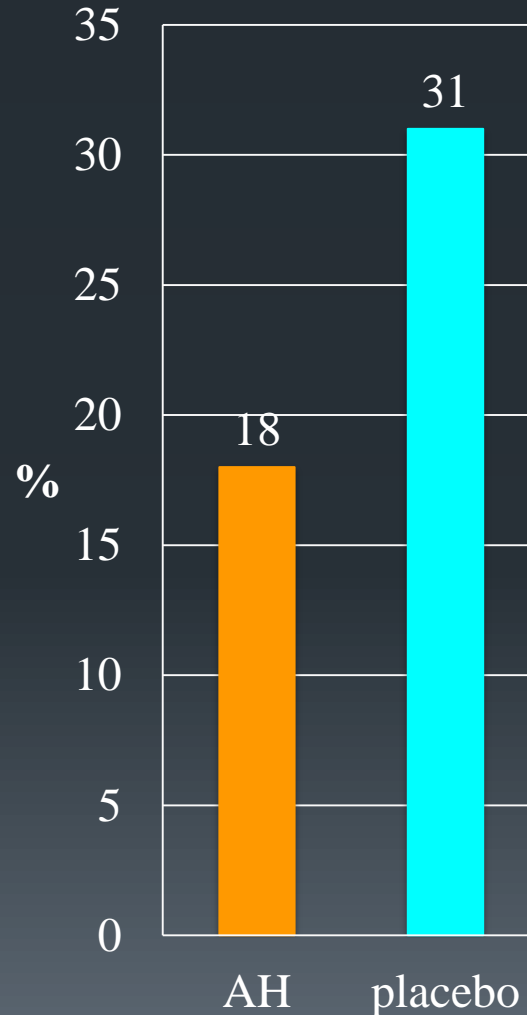


AH improved recovery whether dx of VS or MCS at enrollment.



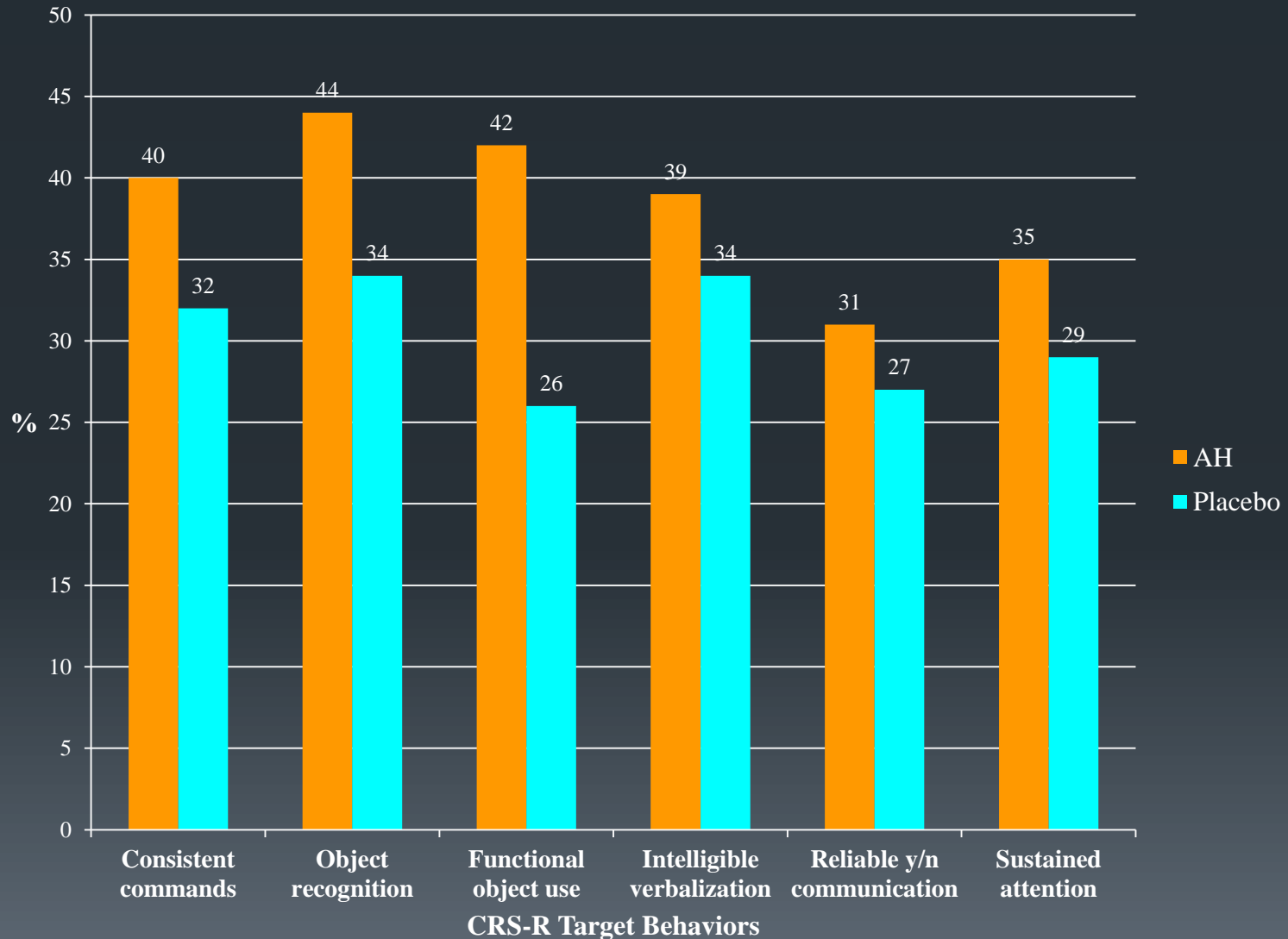
AH improved recovery in pts. treated early (4-10wks) or late (10-16wks) post-injury..

# % of patients in VS or extreme VS (DRS 22-29) after 4 weeks treatment



# Behavioral Benchmarks CRS-R (week 4):

Patients treated with AH had higher % of cognitive behaviors associated with full consciousness





# Deep Brain Stimulation and Spinal Cord Stimulation in VS and MCS

- Implanted in spinal cord, brain stem or thalamus
- Over 240 cases reported: improved arousal, emotional expressiveness, command following and communication (Kanno et al., 1987; Tsubokawa et al., 1990; Yamamoto and Katayama, 2005; Kanno et al., 2009; Yamamoto et al. 2012)
- Inconclusive b/o methodologic flaws – uncontrolled designs; crude assessment and outcome measures





# Deep Brain Stimulation and Spinal Cord Stimulation in VS and MCS

- Yamamoto et al., World Neurosurg, 2012
  - DBS in midbrain RF or CM nucleus thalamus; SCS & DBS (pts in MCS)
  - 21 pts in VS > 3mos post-TBI – 8 recovered command following
  - 21 pts in MCS – improved functional recovery

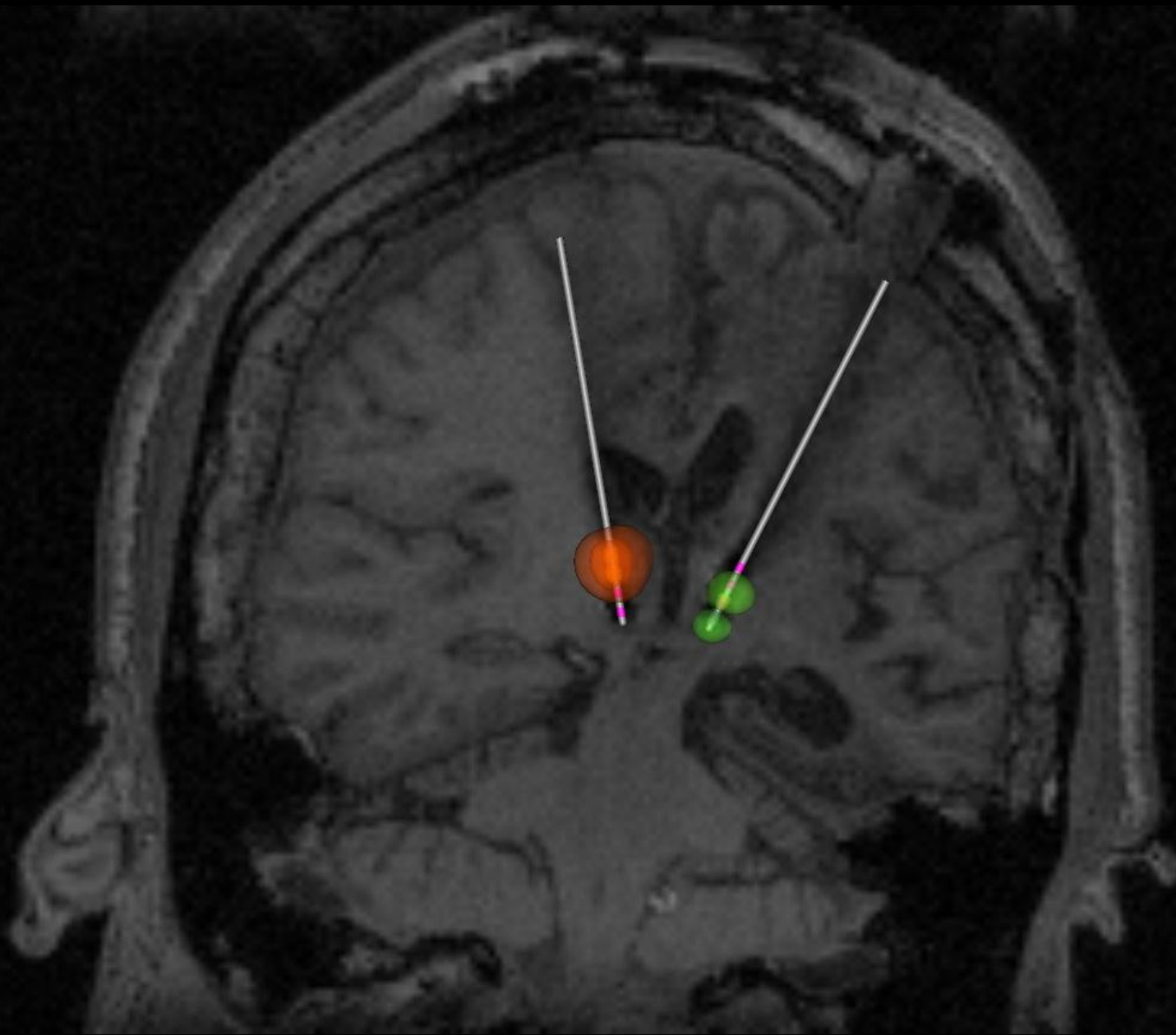


## **Behavioural improvements with thalamic stimulation after severe traumatic brain injury**

N. D. Schiff, J. T. Giacino, K. Kalmar, J. D. Victor, K. Baker, M. Gerber, B. Fritz, B. Eisenberg, J. O'Connor, E. J. Kobylarz, S. Farris, A. Machado, C. McCagg, F. Plum, J. J. Fins, A. R. Rezai

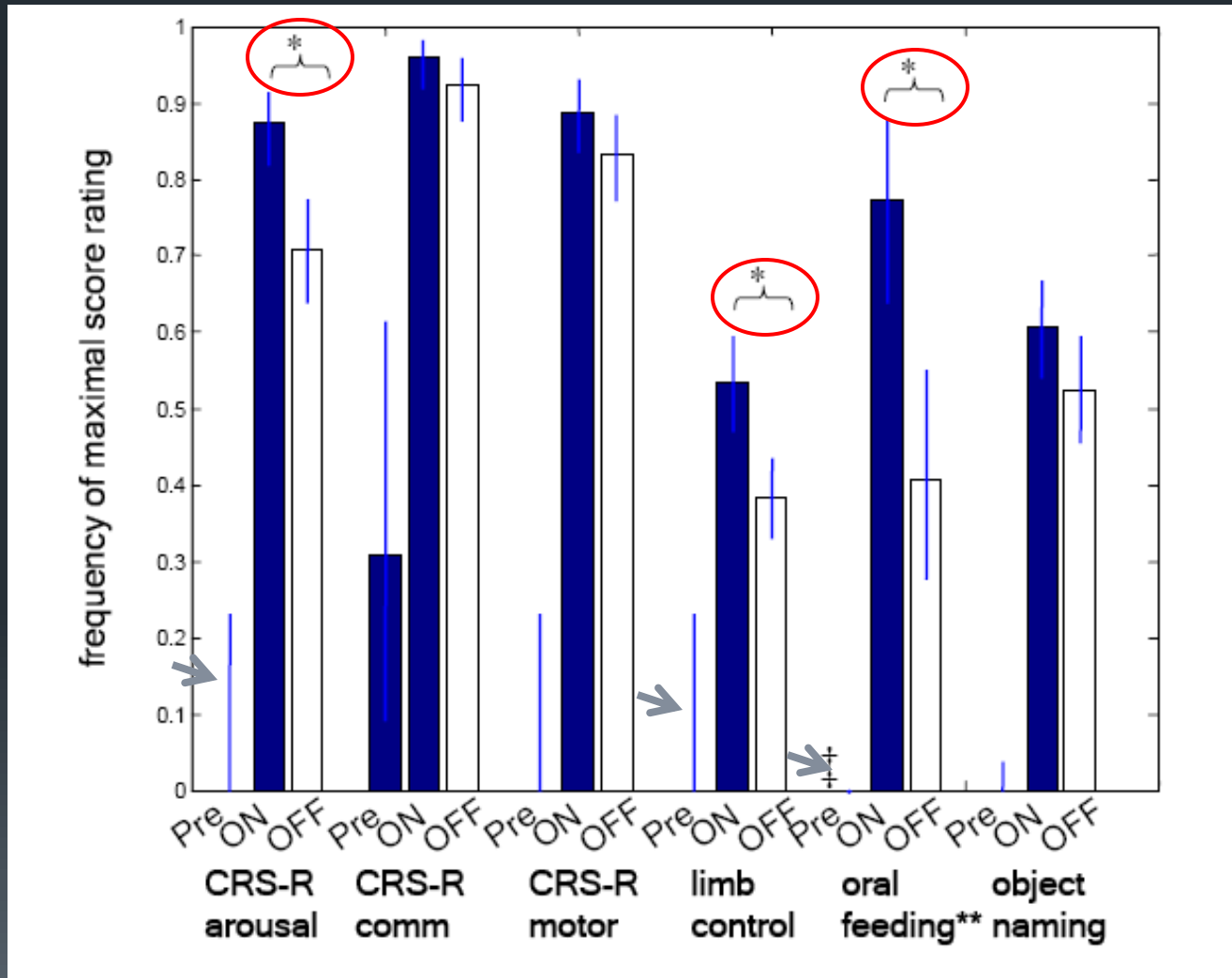
**SUMMARY:** ...in a 6-month double-blind alternating crossover study, we show that bilateral deep brain electrical stimulation (**DBS**) of the central thalamus modulates behavioural responsiveness in a patient who remained in MCS for 6 yr following...

Nature 448, 600 - 603 (02 Aug 2007) Letter



# Functioning with DBS on or off

Schiff et al. Nature, 2007

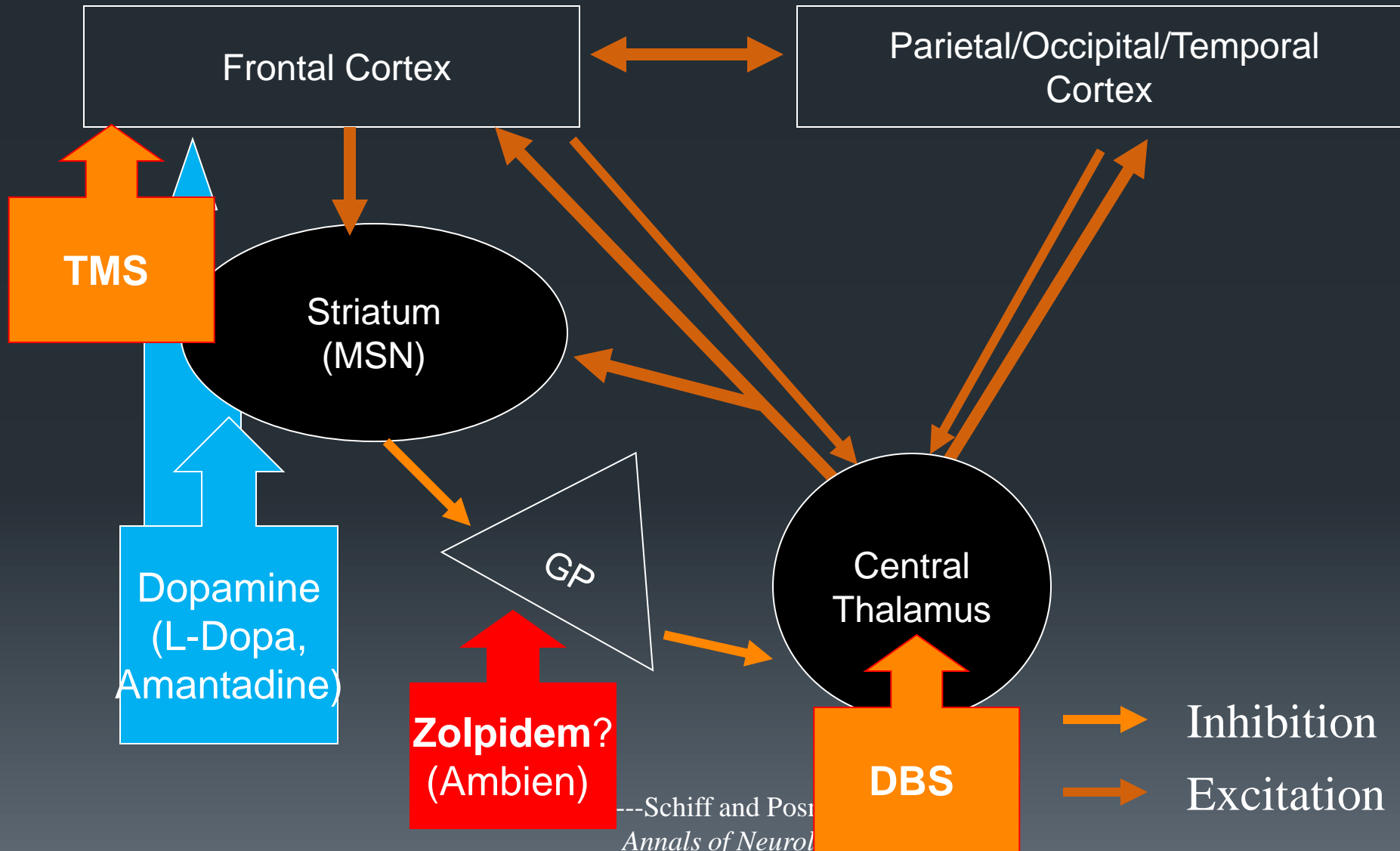


Better with DBS on

DBS off better than pre-DBS

# Schematic 'mesocircuit' model linking central thalamic DBS and pharmacologic activation in severe brain injuries

Schiff ND (2010) *Recovery of consciousness after brain injury: a mesocircuit hypothesis.*  
*Trends in Neuroscience* 33:1-9



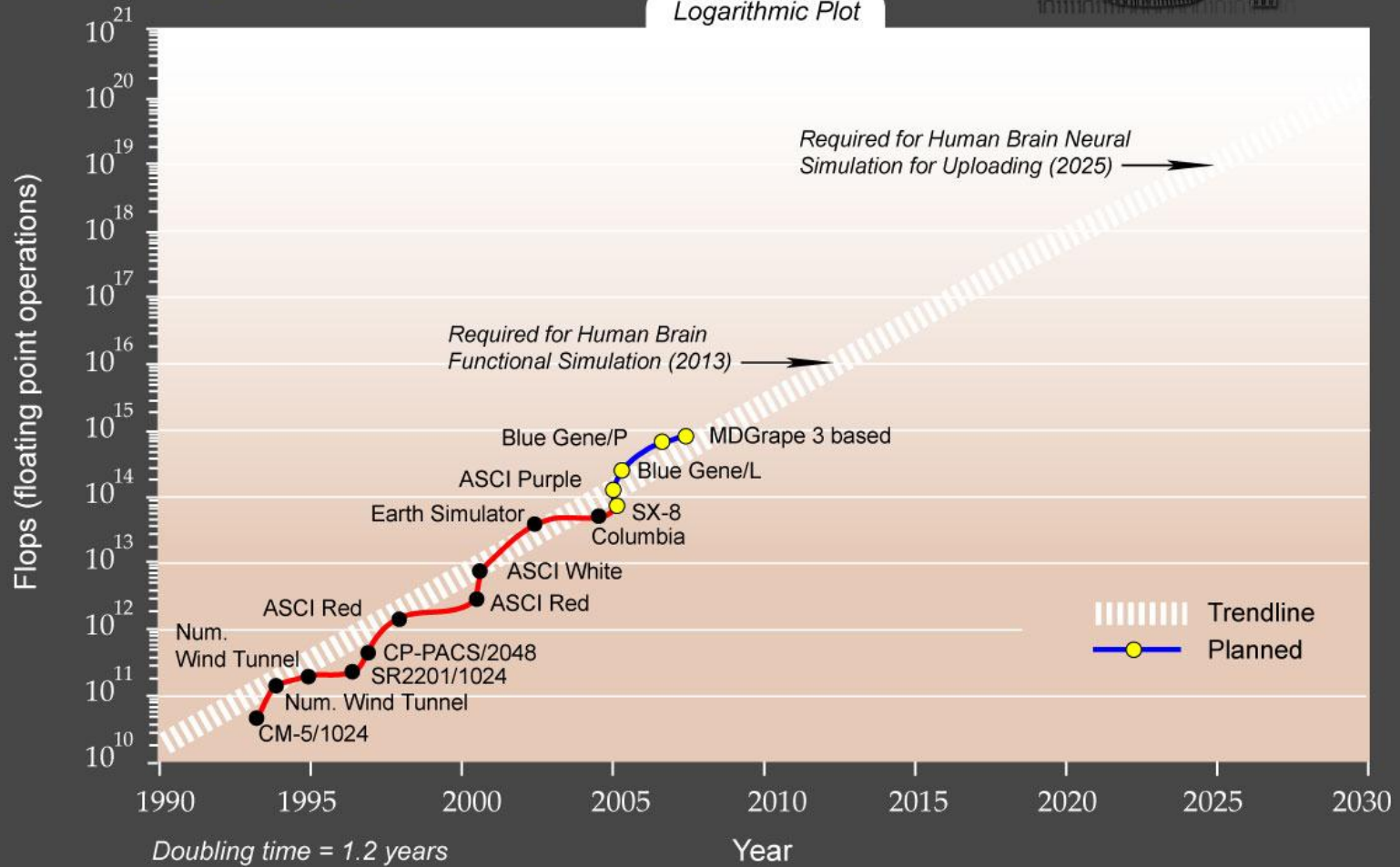


## Future Directions: Reverse engineering the human brain

- Human Connectome Project – structural and functional connectivity of the brain (NIH funded: UCLA/MGH; Wash U/U Minn)
- Blue Brain Project – building a virtual brain in a supercomputer (Henry Makram, École Polytechnique Fédérale De Lausanne / IBM Blue Gene/L supercomputer)
- Google – neural networks software to learn (speech and image recognition)

# Growth in Supercomputer Power

Logarithmic Plot





# Future of TBI Neurorehabilitation?

- In the context of “exponential” advances in neuroscience, technology, artificial intelligence, genetics, diagnosis, therapeutics, etc.